

115TH CONGRESS 1ST SESSION

S. 1000

To amend the Occupational Safety and Health Act of 1970 to expand coverage under the Act, to increase protections for whistleblowers, to increase penalties for high gravity violations, to adjust penalties for inflation, to provide rights for victims or their family members, and for other purposes.

IN THE SENATE OF THE UNITED STATES

May 1, 2017

Mr. Franken (for himself, Mrs. Murray, Mr. Brown, Ms. Warren, and Mr. Markey) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

A BILL

To amend the Occupational Safety and Health Act of 1970 to expand coverage under the Act, to increase protections for whistleblowers, to increase penalties for high gravity violations, to adjust penalties for inflation, to provide rights for victims or their family members, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE; TABLE OF CONTENTS.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "Protecting America's Workers Act".

1 (b) Table of Contents for

2 this Act is as follows:

- Sec. 1. Short title; table of contents.
- Sec. 2. References.

TITLE I—COVERAGE OF PUBLIC EMPLOYEES, VOLUNTARY EMERGENCY RESPONDERS, AND APPLICATION OF ACT

- Sec. 101. Coverage of public employees.
- Sec. 102. Authorized employee representative.
- Sec. 103. Application of Act.

TITLE II—INCREASING WHISTLEBLOWER PROTECTIONS

Sec. 201. Enhanced protections from retaliation.

TITLE III—IMPROVING REPORTING, INSPECTION, AND ENFORCEMENT

- Sec. 301. General duty of employers.
- Sec. 302. Occupational safety and health standards.
- Sec. 303. Posting of employee rights.
- Sec. 304. Employer reporting of work-related injuries, illnesses, deaths, and hospitalizations; prohibition on discouraging employee reporting.
- Sec. 305. No loss of employee pay for inspections.
- Sec. 306. Investigations of fatalities and significant incidents.
- Sec. 307. Prohibition on unclassified citations.
- Sec. 308. Victims' rights.
- Sec. 309. Right to contest citations and penalties.
- Sec. 310. Correction of serious, willful, or repeated violations pending contest and procedures for a stay.
- Sec. 311. Inaction by the Review Commission.
- Sec. 312. Conforming amendments.
- Sec. 313. Civil penalties.
- Sec. 314. Criminal penalties.
- Sec. 315. Prejudgment interest.

TITLE IV—STATE PLANS

- Sec. 401. Concurrent enforcement authority and review of State occupational safety and health plans.
- Sec. 402. Evaluation of repeated violations in State plans.

TITLE V—NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH

Sec. 501. Health hazard evaluations by the National Institute for Occupational Safety and Health.

TITLE VI—EFFECTIVE DATE

Sec. 601. Effective date.

1 SEC. 2. REFERENCES.

- 2 Except as otherwise expressly provided, whenever in
- 3 this Act an amendment or repeal is expressed in terms
- 4 of an amendment to, or repeal of, a section or other provi-
- 5 sion, the reference shall be considered to be made to a
- 6 section or other provision of the Occupational Safety and
- 7 Health Act of 1970 (29 U.S.C. 651 et seq.).

8 TITLE I—COVERAGE OF PUBLIC

- 9 EMPLOYEES, VOLUNTARY
- 10 EMERGENCY RESPONDERS,
- 11 AND APPLICATION OF ACT
- 12 SEC. 101. COVERAGE OF PUBLIC EMPLOYEES.
- 13 (a) IN GENERAL.—Section 3(5) (29 U.S.C. 652(5))
- 14 is amended by striking "but does not include" and all that
- 15 follows through the period at the end and inserting "in-
- 16 cluding the United States, a State, or a political subdivi-
- 17 sion of a State.".
- 18 (b) Construction.—Nothing in this Act shall be
- 19 construed to affect the application of section 18 of the Oc-
- 20 cupational Safety and Health Act of 1970 (29 U.S.C.
- 21 667).
- 22 SEC. 102. AUTHORIZED EMPLOYEE REPRESENTATIVE.
- Section 3 (29 U.S.C. 652) is amended by adding at
- 24 the end the following:

1	"(15) Authorized employee representa-
2	TIVE.—The term 'authorized employee representa-
3	tive'—
4	"(A) means any person or organization
5	that for the purposes of this Act represents 2
6	or more employees at an establishment, factory,
7	plant, construction site, or other workplace, or
8	other environment where work is performed by
9	employees for an employer; and
10	"(B) includes a representative authorized
11	by employees, a representative of employees, or
12	any other representative of employees under
13	this Act.".
14	SEC. 103. APPLICATION OF ACT.
15	Section 4(b) (29 U.S.C. 653(b)) is amended—
16	(1) by redesignating paragraphs (2), (3), and
17	(4) as paragraphs (5), (6), and (7), respectively; and
18	(2) by striking paragraph (1) and inserting the
19	following:
20	"(1) If a Federal agency has promulgated and is en-
21	forcing a standard or regulation affecting occupational
22	safety or health of some or all of the employees within
23	that agency's regulatory jurisdiction, and the Secretary
24	determines that such a standard or regulation as promul-
25	gated and the manner in which the standard or regulation

- 1 is being enforced provides protection to those employees
- 2 that is at least as effective as the protection provided to
- 3 those employees by this Act and the Secretary's enforce-
- 4 ment of this Act, the Secretary may publish a certification
- 5 notice in the Federal Register. The notice shall set forth
- 6 that determination and the reasons for the determination
- 7 and certify that the Secretary has ceded jurisdiction to
- 8 that Federal agency with respect to the specified standard
- 9 or regulation affecting occupational safety or health. In
- 10 determining whether to cede jurisdiction to a Federal
- 11 agency, the Secretary shall seek to avoid duplication of,
- 12 and conflicts between, health and safety requirements.
- 13 Such certification shall remain in effect unless and until
- 14 rescinded by the Secretary.
- 15 "(2) The Secretary shall, by regulation, establish pro-
- 16 cedures by which any person who may be adversely af-
- 17 fected by a decision of the Secretary certifying that the
- 18 Secretary has ceded jurisdiction to another Federal agency
- 19 pursuant to paragraph (1) may petition the Secretary to
- 20 rescind a certification notice under paragraph (1). Upon
- 21 receipt of such a petition, the Secretary shall investigate
- 22 the matter involved and shall, within 90 days after receipt
- 23 of the petition, publish a decision with respect to the peti-
- 24 tion in the Federal Register.
- 25 "(3) Any person who may be adversely affected by—

1	"(A) a decision of the Secretary certifying that
2	the Secretary has ceded jurisdiction to another Fed-
3	eral agency pursuant to paragraph (1); or
4	"(B) a decision of the Secretary denying a peti-
5	tion to rescind such a certification notice under
6	paragraph (1),
7	may, not later than 60 days after such decision is pub-
8	lished in the Federal Register, file a petition challenging
9	such decision with the United States Court of Appeals for
10	the circuit in which such person resides or such person
11	has a principal place of business, for judicial review of
12	such decision. A copy of the petition shall be forthwith
13	transmitted by the clerk of the court to the Secretary. The
14	Secretary's decision shall be set aside if found to be arbi-
15	trary, capricious, an abuse of discretion, or otherwise not
16	in accordance with law.
17	"(4) Nothing in this Act shall apply to working condi-
18	tions covered by the Federal Mine Safety and Health Act
19	of 1977 (30 U.S.C. 801 et seq.).".
20	TITLE II—INCREASING
21	WHISTLEBLOWER PROTECTIONS
22	SEC. 201. ENHANCED PROTECTIONS FROM RETALIATION.
23	(a) Employee Actions.—Section 11(c)(1) (29

24 U.S.C. 660(c)(1)) is amended—

1 (1) by striking "discharge" and all that follows 2 through "because such" and inserting the following: 3 "discharge or cause to be discharged, or in any man-4 ner discriminate against or cause to be discriminated 5 against, any employee because— "(A) such"; 6 (2) by striking "this Act or has" and inserting 7 8 the following: "this Act; 9 "(B) such employee has"; 10 (3) by striking "in any such proceeding or because of the exercise" and inserting the following: 11 "before Congress or in any Federal or State pro-12 13 ceeding related to safety or health; 14 "(C) such employee has refused to violate any 15 provision of this Act; or "(D) of the exercise"; and 16 17 (4) by inserting before the period at the end the following: ", including the reporting of any injury, 18 19 illness, or unsafe condition to the employer, agent of 20 the employer, safety and health committee involved, 21 or employee safety and health representative in-22 volved". 23 (b) Prohibition of Retaliation.—Section 11(c) (29 U.S.C. 660(c)) is amended by striking paragraph (2) and inserting the following: 25

"(2) Prohibition of Retaliation.—

"(A) IN GENERAL.—No person shall discharge, or cause to be discharged, or in any manner discriminate against, or cause to be discriminated against, an employee for refusing to perform the employee's duties if the employee has a reasonable apprehension that performing such duties would result in serious injury to, or serious impairment of the health of, the employee or other employees.

"(B) CIRCUMSTANCES.—For purposes of subparagraph (A), the circumstances causing the employee's good-faith belief that performing such duties would pose a safety or health hazard shall be of such a nature that a reasonable person, under the circumstances confronting the employee, would conclude that there is such a hazard. In order to qualify for protection under this paragraph, the employee, when practicable, shall have communicated or attempted to communicate the safety or health concern to the employer and have not received from the employer a response reasonably calculated to allay such concern.".

1	(c) Procedure.—Section 11(c) (29 U.S.C. 660(c))
2	is amended by striking paragraph (3) and inserting the
3	following:
4	"(3) Complaint.—Any employee who believes
5	that the employee has been discharged, disciplined,
6	or otherwise discriminated against by any person in
7	violation of paragraph (1) or (2) may seek relief for
8	such violation by filing a complaint with the Sec-
9	retary under paragraph (5).
10	"(4) Statute of Limitations.—
11	"(A) IN GENERAL.—An employee may take
12	the action permitted by paragraph (3) not later
13	than 180 days after the later of—
14	"(i) the date on which an alleged vio-
15	lation of paragraph (1) or (2) occurs; or
16	"(ii) the date on which the employee
17	knows or should reasonably have known
18	that such alleged violation occurred.
19	"(B) Repeat violation.—Except in
20	cases when the employee has been discharged,
21	a violation of paragraph (1) or (2) shall be con-
22	sidered to have occurred on the last date an al-
23	leged repeat violation occurred.
24	"(5) Investigation.—

1	"(A) In General.—An employee may,
2	within the time period required under para-
3	graph (4)(A), file a complaint with the Sec-
4	retary alleging a violation of paragraph (1) or
5	(2). If the complaint alleges a prima facie case,
6	the Secretary shall conduct an investigation of
7	the allegations in the complaint, which—
8	"(i) shall include—
9	"(I) interviewing the complain-
10	ant;
11	"(II) providing the respondent an
12	opportunity to—
13	"(aa) submit to the Sec-
14	retary a written response to the
15	complaint; and
16	"(bb) meet with the Sec-
17	retary to present statements from
18	witnesses or provide evidence;
19	and
20	"(III) providing the complainant
21	an opportunity to—
22	"(aa) receive any statements
23	or evidence provided to the Sec-
24	retary;

1	"(bb) meet with the Sec-
2	retary; and
3	"(cc) rebut any statements
4	or evidence; and
5	"(ii) may include issuing subpoenas
6	for the purposes of such investigation.
7	"(B) Decision.—Not later than 90 days
8	after the filing of the complaint, the Secretary
9	shall—
10	"(i) determine whether reasonable
11	cause exists to believe that a violation of
12	paragraph (1) or (2) has occurred; and
13	"(ii) issue a decision granting or de-
14	nying relief.
15	"(6) Preliminary order following inves-
16	TIGATION.—If, after completion of an investigation
17	under paragraph (5)(A), the Secretary finds reason-
18	able cause to believe that a violation of paragraph
19	(1) or (2) has occurred, the Secretary shall issue a
20	preliminary order providing relief authorized under
21	paragraph (14) at the same time the Secretary
22	issues a decision under paragraph (5)(B). If a de
23	novo hearing is not requested within the time period
24	required under paragraph (7)(A)(i), such prelimi-

1	nary order shall be deemed a final order of the Sec-
2	retary and is not subject to judicial review.
3	"(7) Hearing.—
4	"(A) Request for hearing.—
5	"(i) In general.—A de novo hearing
6	on the record before an administrative law
7	judge may be requested—
8	"(I) by the complainant or re-
9	spondent within 30 days after receiv-
10	ing notification of a decision granting
11	or denying relief issued under para-
12	graph (5)(B) or a preliminary order
13	under paragraph (6), respectively;
14	"(II) by the complainant within
15	30 days after the date the complaint
16	is dismissed without investigation by
17	the Secretary under paragraph (5)(A);
18	or
19	"(III) by the complainant within
20	120 days after the date of filing the
21	complaint, if the Secretary has not
22	issued a decision under paragraph
23	(5)(B).
24	"(ii) Reinstatement order.—The
25	request for a hearing shall not operate to

1 stay any preliminary reinstatement order 2 issued under paragraph (6). 3 "(B) Procedures.— 4 "(i) In General.—A hearing requested under this paragraph shall be conducted expeditiously and in accordance 6 7 with rules established by the Secretary for 8 hearings conducted by administrative law 9 judges. 10 "(ii) SUBPOENAS: PRODUCTION OF 11 EVIDENCE.—In conducting any such hear-12 ing, the administrative law judge may issue 13 subpoenas. The respondent or complainant 14 may request the issuance of subpoenas 15 that require the deposition of, or the at-16 tendance and testimony of, witnesses and 17 the production of any evidence (including 18 any books, papers, documents, or record-19 ings) relating to the matter under consid-20 eration. 21 "(iii) Decision.—The administrative 22 law judge shall issue a decision not later 23 than 90 days after the date on which a 24 hearing was requested under this para-

graph and promptly notify, in writing, the

parties and the Secretary of such decision, including the findings of fact and conclusions of law. If the administrative law judge finds that a violation of paragraph (1) or (2) has occurred, the judge shall issue an order for relief under paragraph (14). If review under paragraph (8) is not timely requested, such order shall be deemed a final order of the Secretary that is not subject to judicial review.

"(8) Administrative appeal.—

"(A) IN GENERAL.—Not later than 30 days after the date of notification of a decision and order issued by an administrative law judge under paragraph (7), the complainant or respondent may file, with objections, an administrative appeal with an administrative review body designated by the Secretary (referred to in this paragraph as the 'review board').

"(B) STANDARD OF REVIEW.—In reviewing the decision and order of the administrative law judge, the review board shall affirm the decision and order if it is determined that the factual findings set forth therein are supported by

substantial evidence and the decision and order are made in accordance with applicable law.

"(C) Decisions.—If the review board grants an administrative appeal, the review board shall issue a final decision and order affirming or reversing, in whole or in part, the decision under review by not later than 90 days after receipt of the administrative appeal. If it is determined that a violation of paragraph (1) or (2) has occurred, the review board shall issue a final decision and order providing relief authorized under paragraph (14). Such decision and order shall constitute final agency action with respect to the matter appealed.

"(9) SETTLEMENT IN THE ADMINISTRATIVE PROCESS.—

- "(A) IN GENERAL.—At any time before issuance of a final order, an investigation or proceeding under this subsection may be terminated on the basis of a settlement agreement entered into by the parties.
- "(B) Public Policy Considerations.— Neither the Secretary, an administrative law judge, nor the review board conducting a hearing under this subsection shall accept a settle-

1	ment that contains conditions conflicting with
2	the rights protected under this Act or that are
3	contrary to public policy, including a restriction
4	on a complainant's right to future employment
5	with employers other than the specific employ-
6	ers named in a complaint.
7	"(10) Inaction by the review board or ad-
8	MINISTRATIVE LAW JUDGE.—
9	"(A) IN GENERAL.—The complainant may
10	bring a de novo action described in subpara-
11	graph (B) if—
12	"(i) an administrative law judge has
13	not issued a decision and order within the
14	90-day time period required under para-
15	graph (7)(B)(iii); or
16	"(ii) the review board has not issued
17	a decision and order within the 90-day
18	time period required under paragraph
19	(8)(C).
20	"(B) DE NOVO ACTION.—Such de novo ac-
21	tion may be brought at law or equity in the
22	United States district court for the district
23	where a violation of paragraph (1) or (2) alleg-
24	edly occurred or where the complainant resided
25	on the date of such alleged violation. The court

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shall have jurisdiction over such action without regard to the amount in controversy and to order appropriate relief under paragraph (14). Such action shall, at the request of either party to such action, be tried by the court with a jury.

"(11) Judicial review.—

"(A) TIMELY APPEAL TO THE COURT OF APPEALS.—Any party adversely affected or aggrieved by a final decision and order issued under this subsection may obtain review of such decision and order in the United States Court of Appeals for the circuit where the violation, with respect to which such final decision and order was issued, allegedly occurred or where the complainant resided on the date of such alleged violation. To obtain such review, a party shall file a petition for review not later than 60 days after the final decision and order was issued. Such review shall conform to chapter 7 of title 5, United States Code. The commencement of proceedings under this subparagraph shall not, unless ordered by the court, operate as a stay of the final decision and order.

1 "(B) LIMITATION ON COLLATERAL AT2 TACK.—An order and decision with respect to
3 which review may be obtained under subpara4 graph (A) shall not be subject to judicial review
5 in any criminal or other civil proceeding.

"(12) Enforcement of order.—If a respondent fails to comply with an order issued under this subsection, the Secretary or the complainant on whose behalf the order was issued may file a civil action for enforcement in the United States district court for the district in which the violation was found to occur to enforce such order. If both the Secretary and the complainant file such action, the action of the Secretary shall take precedence. The district court shall have jurisdiction to grant all appropriate relief described in paragraph (14).

"(13) Burdens of Proof.—

"(A) CRITERIA FOR DETERMINATION.—In making a determination or adjudicating a complaint pursuant to this subsection, the Secretary, or an administrative law judge, review board, or court, may determine that a violation of paragraph (1) or (2) has occurred only if the complainant demonstrates that any conduct described in paragraph (1) or (2) with respect to

the complainant was a contributing factor in the adverse action alleged in the complaint.

> "(B) Prohibition.—Notwithstanding subparagraph (A), a decision or order that is favorable to the complainant shall not be issued in any administrative or judicial action pursuant to this subsection if the respondent demonstrates by clear and convincing evidence that the respondent would have taken the same adverse action in the absence of such conduct.

"(14) Relief.—

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"(A) Order for relief.—If the Secretary, or an administrative law judge, review board, or court, determines that a violation of paragraph (1) or (2) has occurred, the Secretary, administrative law judge, review board, or court, respectively, shall have jurisdiction to order all appropriate relief, including injunctive relief, and compensatory and exemplary damages, including—

"(i) affirmative action to abate the violation;

"(ii) reinstatement without loss of position or seniority, and restoration of the terms, rights, conditions, and privileges as-

1	sociated with the complainant's employ-
2	ment, including opportunities for pro-
3	motions to positions with equivalent or bet-
4	ter compensation for which the complain-
5	ant is qualified;
6	"(iii) compensatory and consequential
7	damages sufficient to make the complain-
8	ant whole (including back pay, prejudg-
9	ment interest, and other damages); and
10	"(iv) expungement of all warnings,
11	reprimands, or derogatory references that
12	have been placed in paper or electronic
13	records or databases of any type relating
14	to the actions by the complainant that
15	gave rise to the unfavorable personnel ac-
16	tion, and, at the complainant's direction,
17	transmission of a copy of the decision on
18	the complaint to any person whom the
19	complainant reasonably believes may have
20	received such unfavorable information.
21	"(B) Attorneys' fees and costs.—If
22	the Secretary or an administrative law judge,
23	review board, or court grants an order for relief
24	under subparagraph (A), the Secretary, admin-

istrative law judge, review board, or court, re-

1	spectively, shall assess, at the request of the
2	employee against the employer—
3	"(i) reasonable attorneys' fees; and
4	"(ii) costs (including expert witness
5	fees) reasonably incurred, as determined
6	by the Secretary, administrative law judge,
7	review board, or court, respectively, in con-
8	nection with bringing the complaint upon
9	which the order was issued.
10	"(15) Procedural rights.—The rights and
11	remedies provided for in this subsection may not be
12	waived by any agreement, policy, form, or condition
13	of employment, including by any pre-dispute arbitra-
14	tion agreement or collective bargaining agreement.
15	"(16) Savings.—Nothing in this subsection
16	shall be construed to diminish the rights, privileges,
17	or remedies of any employee who exercises rights
18	under any Federal or State law or common law, or
19	under any collective bargaining agreement.
20	"(17) Election of venue.—
21	"(A) In General.—An employee of an
22	employer who is located in a State that has a
23	State plan approved under section 18 may file
24	a complaint alleging a violation of paragraph
25	(1) or (2) by such employer with—

1	"(i) the Secretary under paragraph
2	(5); or
3	"(ii) a State plan administrator in
4	such State.
5	"(B) Referrals.—If—
6	"(i) the Secretary receives a complaint
7	pursuant to subparagraph (A)(i), the Sec-
8	retary shall not refer such complaint to a
9	State plan administrator for resolution; or
10	"(ii) a State plan administrator re-
11	ceives a complaint pursuant to subpara-
12	graph (A)(ii), the State plan administrator
13	shall not refer such complaint to the Sec-
14	retary for resolution.".
15	(d) Relation to Enforcement.—Section 17(j)
16	(29 U.S.C. 666(j)) is amended by inserting before the pe-
17	riod the following: ", including the history of violations
18	under section 11(c)".
19	TITLE III—IMPROVING REPORT-
20	ING, INSPECTION, AND EN-
21	FORCEMENT
22	SEC. 301. GENERAL DUTY OF EMPLOYERS.
23	Section 5 (29 U.S.C. 654(a)(1)) is amended—
24	(1) in subsection (a), by amending paragraph
25	(1) to read as follows:

1	"(1) shall furnish employment and a place of
2	employment that are free from recognized hazards—
3	"(A) that are causing or are likely to cause
4	death or serious physical harm and that the
5	employer creates or controls; or
6	"(B) to which the employer exposes any
7	employee of the employer or any other person
8	performing work at the place of employment;
9	and"; and
10	(2) by adding at the end the following new sub-
11	section:
12	"(c) Each employee or other person exposed to a haz-
13	ard in violation of subsection (a) may constitute a separate
13 14	ard in violation of subsection (a) may constitute a separate violation.".
14	violation.".
14 15	violation.". SEC. 302. OCCUPATIONAL SAFETY AND HEALTH STAND-
14 15 16 17	violation.". SEC. 302. OCCUPATIONAL SAFETY AND HEALTH STAND-ARDS.
14 15 16 17	violation.". SEC. 302. OCCUPATIONAL SAFETY AND HEALTH STAND-ARDS. Section 6(a) (29 U.S.C. 655(a)) is amended by strik-
14 15 16 17	violation.". SEC. 302. OCCUPATIONAL SAFETY AND HEALTH STAND-ARDS. Section 6(a) (29 U.S.C. 655(a)) is amended by striking "Without regard" and all that follows through "af-
114 115 116 117 118	violation.". SEC. 302. OCCUPATIONAL SAFETY AND HEALTH STAND-ARDS. Section 6(a) (29 U.S.C. 655(a)) is amended by striking "Without regard" and all that follows through "affected employees." and inserting the following: "(1) With-
14 15 16 17 18 19 20	violation.". SEC. 302. OCCUPATIONAL SAFETY AND HEALTH STAND-ARDS. Section 6(a) (29 U.S.C. 655(a)) is amended by striking "Without regard" and all that follows through "affected employees." and inserting the following: "(1) Without regard to chapters 5 and 6 of title 5, United States
114 115 116 117 118 119 220 221	violation.". SEC. 302. OCCUPATIONAL SAFETY AND HEALTH STAND-ARDS. Section 6(a) (29 U.S.C. 655(a)) is amended by striking "Without regard" and all that follows through "affected employees." and inserting the following: "(1) Without regard to chapters 5 and 6 of title 5, United States Code, or to the other subsections of this section, the Sec-
14 15 16 17 18 19 20 21	violation.". SEC. 302. OCCUPATIONAL SAFETY AND HEALTH STAND-ARDS. Section 6(a) (29 U.S.C. 655(a)) is amended by striking "Without regard" and all that follows through "affected employees." and inserting the following: "(1) Without regard to chapters 5 and 6 of title 5, United States Code, or to the other subsections of this section, the Secretary shall—

as an occupational safety or health standard any national consensus standard, and any established Federal standard, unless the Secretary determines that the promulgation of such a standard would not result in improved safety or health for specifically designated employees; and

- "(B) by rule, not later than 2 years after the effective date under section 601(a) of the Protecting America's Workers Act, update any national consensus standard that has been promulgated or incorporated by reference pursuant to this subsection, except that such a standard shall not be updated pursuant to this subparagraph, if—
- "(i) the standard has been superseded by a standard promulgated pursuant to subsection (b); or
- "(ii) the Secretary determines such update would not result in improved health or safety for specifically designated employees.
- "(2) In the event of conflict among any such standards, including national consensus standards, or in the event of a consolidation of national consensus standards, the Secretary shall promulgate the standard which assures the greatest protection of the safety or health of the affected employees.

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- 1 "(3) No standard, rule, or regulation promulgated
- 2 under this Act, on or after the date of enactment of the
- 3 Protecting America's Workers Act, shall reduce the pro-
- 4 tection afforded by a health or safety standard, rule, regu-
- 5 lation, or national consensus standard in effect on the day
- 6 before the date of enactment of such Act.".

7 SEC. 303. POSTING OF EMPLOYEE RIGHTS.

- 8 Section 8(c)(1) (29 U.S.C. 657(c)(1)) is amended by
- 9 adding at the end the following new sentence: "Such regu-
- 10 lations shall include provisions requiring employers to post
- 11 for employees information on the protections afforded
- 12 under section 11(c).".
- 13 SEC. 304. EMPLOYER REPORTING OF WORK-RELATED INJU-
- 14 RIES, ILLNESSES, DEATHS, AND HOS-
- 15 PITALIZATIONS; PROHIBITION ON DISCOUR-
- 16 AGING EMPLOYEE REPORTING.
- 17 Section 8(c)(2) (29 U.S.C. 657(c)(2)) is amended by
- 18 adding at the end the following new sentences: "Such reg-
- 19 ulations shall require site-controlling employers to keep a
- 20 site log for all recordable injuries and illnesses occurring
- 21 among all employees on the particular site, including em-
- 22 ployees of the site-controlling employer or others who are
- 23 performing work at the particular site (including inde-
- 24 pendent contractors). Such regulations shall require em-
- 25 ployers to promptly notify the Secretary of any work-re-

- 1 lated death or work-related injury or illness that results
- 2 in the in-patient hospitalization of an employee for medical
- 3 treatment, amputation, or loss of an eye, and shall pro-
- 4 hibit the employer from adopting or implementing policies
- 5 or practices by the employer that have the effect of dis-
- 6 couraging accurate recordkeeping and the reporting of
- 7 work-related injuries or illnesses by any employee or in
- 8 any manner discriminates or provides for adverse action
- 9 against any employee for reporting a work-related injury
- 10 or illness. For purposes of this paragraph, the term 'site-
- 11 controlling employer' means the employer that has pri-
- 12 mary control over a work site at which employees of more
- 13 than one employer work, such as by hiring or coordinating
- 14 the work of other employers working at the site.".

15 SEC. 305. NO LOSS OF EMPLOYEE PAY FOR INSPECTIONS.

- Section 8(e) (29 U.S.C. 657(e)) is amended by insert-
- 17 ing after the first sentence the following: "Time spent by
- 18 an employee participating in or aiding any such inspection
- 19 shall be deemed to be hours worked and no employee shall
- 20 suffer any loss of wages, benefits, or other terms and con-
- 21 ditions of employment for having participated in or aided
- 22 any such inspection.".

1 SEC. 306. INVESTIGATIONS OF FATALITIES AND SIGNIFI-

- 2 CANT INCIDENTS.
- 3 Section 8 (29 U.S.C. 657) is amended by adding at
- 4 the end the following new subsection:
- 5 "(i) Investigation of Fatalities and Serious
- 6 Incidents.—
- 7 "(1) IN GENERAL.—The Secretary shall investigate
- 8 any significant incident or an incident resulting in death
- 9 that occurs in a place of employment.
- 10 "(2) EVIDENCE PRESERVATION.—If a significant in-
- 11 cident or an incident resulting in death occurs in a place
- 12 of employment, the employer shall promptly notify the
- 13 Secretary of the incident involved and shall take appro-
- 14 priate measures to prevent the destruction or alteration
- 15 of any evidence that would assist in investigating the inci-
- 16 dent. The appropriate measures required by this para-
- 17 graph do not prevent an employer from taking action on
- 18 a worksite to prevent injury to employees or substantial
- 19 damage to property or to avoid disruption of essential
- 20 services necessary to public safety, provided that if an em-
- 21 ployer takes such action, the employer shall notify the Sec-
- 22 retary of the action in a timely fashion.
- "(3) Definitions.—In this subsection:
- 24 "(A) Incident resulting in death.—The
- term 'incident resulting in death' means an incident
- that results in the death of an employee.

- 1 "(B) SIGNIFICANT INCIDENT.—The term 'sig-
- 2 nificant incident' means an incident that results in
- 3 the in-patient hospitalization of 2 or more employees
- 4 for medical treatment.".

5 SEC. 307. PROHIBITION ON UNCLASSIFIED CITATIONS.

- 6 Section 9 (29 U.S.C. 658) is amended by adding at
- 7 the end the following:
- 8 "(d) No citation for a violation of this Act may be
- 9 issued, modified, or settled under this section without a
- 10 designation enumerated in section 17 with respect to such
- 11 violation.".
- 12 SEC. 308. VICTIMS' RIGHTS.
- 13 The Occupational Safety and Health Act of 1970 is
- 14 amended by inserting after section 9 (29 U.S.C. 658) the
- 15 following:
- 16 "SEC. 9A. VICTIMS' RIGHTS.
- 17 "(a) RIGHTS BEFORE THE SECRETARY.—A victim,
- 18 or the representative of a victim, shall be afforded the
- 19 right, with respect to an inspection or investigation con-
- 20 ducted under section 8 to—
- 21 "(1) meet with the Secretary regarding the in-
- spection or investigation conducted under such sec-
- 23 tion before the Secretary's decision to issue a cita-
- 24 tion or take no action;

1	"(2) receive, at no cost, a copy of any citation
2	or report, issued as a result of such inspection or in-
3	vestigation, at the same time as the employer re-
4	ceives such citation or report;
5	"(3) be informed of any notice of contest or ad-
6	dition of parties to the proceedings filed under sec-
7	tion $10(c)$; and
8	"(4) be provided notification of the date and
9	time or any proceedings, service of pleadings, and
10	other relevant documents, and an explanation of the
11	rights of the employer, employee and employee rep-
12	resentative, and victim to participate in proceedings
13	conducted under section 10(c).
14	"(b) Rights Before the Commission.—Upon re-
15	quest, a victim or representative of a victim shall be af-
16	forded the right with respect to a work-related bodily in-
17	jury or death to—
18	"(1) be notified of the time and date of any
19	proceeding before the Commission;
20	"(2) receive pleadings and any decisions relat-
21	ing to the proceedings; and
22	"(3) be provided an opportunity to appear and
23	make a statement in accordance with the rules pre-
24	scribed by the Commission.

1	"(c) Modification of Citation.—Before entering
2	into an agreement to withdraw or modify a citation issued
3	as a result of an inspection or investigation of an incident
4	under section 8, the Secretary shall notify a victim or rep-
5	resentative of a victim and provide the victim or represent-
6	ative of a victim with an opportunity to appear and make
7	a statement before the parties conducting settlement nego-
8	tiations. In lieu of an appearance, the victim or represent-
9	ative of the victim may elect to submit a letter to the Sec-
10	retary and the parties.
11	"(d) Secretary Procedures.—The Secretary shall
12	establish procedures—
13	"(1) to inform victims of their rights under this
14	section; and
15	"(2) for the informal review of any claim of a
16	denial of such a right.
17	"(e) Commission Procedures and Consider-
18	ATIONS.—The Commission shall—
19	"(1) establish procedures relating to the rights
20	of victims to be heard in proceedings before the
21	Commission; and
22	"(2) in rendering any decision, provide due con-
23	sideration to any statement or information provided
24	by any victim before the Commission.

1	"(f) Family Liaisons.—The Secretary shall des-
2	ignate at least 1 employee at each area office of the Occu-
3	pational Safety and Health Administration to serve as a
4	family liaison to—
5	"(1) keep victims informed of the status of in-
6	vestigations, enforcement actions, and settlement ne-
7	gotiations; and
8	"(2) assist victims in asserting their rights
9	under this section.
10	"(g) Definition.—In this section, the term 'victim'
11	means—
12	"(1) an employee, including a former employee,
13	who has sustained a work-related injury or illness
14	that is the subject of an inspection or investigation
15	conducted under section 8; or
16	"(2) a family member (as further defined by
17	the Secretary) of a victim described in paragraph
18	(1), if—
19	"(A) the victim dies as a result of an inci-
20	dent that is the subject of an inspection or in-
21	vestigation conducted under section 8; or
22	"(B) the victim sustains a work-related in-
23	jury or illness that is the subject of an inspec-
24	tion or investigation conducted under section 8,

1	and the victim because of incapacity cannot rea-
2	sonably exercise the rights under this section."
3	SEC. 309. RIGHT TO CONTEST CITATIONS AND PENALTIES
4	Section 10(c) (29 U.S.C. 659(c)) is amended—
5	(1) in the first sentence—
6	(A) by inserting after "that he intends to
7	contest a citation issued under section (9)(a)'
8	the following: "(or a modification of a citation
9	issued under this section)";
10	(B) by inserting after "the issuance of a
11	citation under section 9(a)" the following: "(in-
12	cluding a modification of a citation issued
13	under such section)"; and
14	(C) by inserting after "files a notice with
15	the Secretary alleging" the following: "that the
16	citation fails to properly designate the violation
17	as serious, willful, or repeated, that the pro-
18	posed penalty is not adequate, or";
19	(2) by inserting after the first sentence, the fol-
20	lowing: "The pendency of a contest before the Com-
21	mission shall not bar the Secretary from inspecting
22	a place of employment or from issuing a citation
23	under section 9."; and
24	(3) in the last sentence—

1	(A) by inserting "employers and" after
2	"Commission shall provide"; and
3	(B) by inserting before the period at the
4	end ", and notification of any modification of a
5	citation".
6	SEC. 310. CORRECTION OF SERIOUS, WILLFUL, OR RE-
7	PEATED VIOLATIONS PENDING CONTEST AND
8	PROCEDURES FOR A STAY.
9	Section 10 (29 U.S.C. 659) is amended by adding
10	at the end the following:
11	"(d) Correction of Serious, Willful, or Re-
12	PEATED VIOLATIONS PENDING CONTEST AND PROCE-
13	DURES FOR A STAY.—
14	"(1) Period Permitted for Correction of
15	SERIOUS, WILLFUL, OR REPEATED VIOLATIONS.—
16	For each violation which the Secretary designates as
17	serious, willful, or repeated, the period permitted for
18	the correction of the violation shall begin to run
19	upon receipt of the citation.
20	"(2) FILING OF A MOTION OF CONTEST.—The
21	filing of a notice of contest by an employer—
22	"(A) shall not operate as a stay of the pe-
23	riod for correction of a violation designated as
24	serious, willful, or repeated; and

1	"(B) may operate as a stay of the period
2	for correction of a violation not designated by
3	the Secretary as serious, willful, or repeated.
4	"(3) Criteria and rules of procedure for
5	STAYS.—
6	"(A) MOTION FOR A STAY.—An employer
7	that receives a citation alleging a violation des-
8	ignated as serious, willful, or repeated and that
9	files a notice of contest to the citation asserting
10	that the time set for abatement of the alleged
11	violation is unreasonable or challenging the ex-
12	istence of the alleged violation may file with the
13	Commission a motion to stay the period for the
14	abatement of the violation.
15	"(B) Criteria.—In determining whether
16	a stay should be issued on the basis of a motion
17	filed under subparagraph (A), the Commission
18	may grant a stay only if the employer has dem-
19	onstrated—
20	"(i) a substantial likelihood of success
21	on the areas contested under subparagraph
22	(A); and
23	"(ii) that a stay will not adversely af-
24	fect the health and safety of workers.

1	"(C) Rules of Procedure.—The Com-
2	mission shall develop rules of procedure for con-
3	ducting a hearing on a motion filed under sub-
4	paragraph (A) on an expedited basis. At a min-
5	imum, such rules shall provide the following:
6	"(i) That a hearing before an admin-
7	istrative law judge shall occur not later
8	than 15 days following the filing of the
9	motion for a stay (unless extended at the
10	request of the employer), and shall provide
11	for a decision on the motion not later than
12	15 days following the hearing (unless ex-
13	tended at the request of the employer).
14	"(ii) That a decision of an administra-
15	tive law judge on a motion for stay is ren-
16	dered on a timely basis.
17	"(iii) That if a party is aggrieved by
18	a decision issued by an administrative law
19	judge regarding the stay, such party has
20	the right to file an objection with the Com-
21	mission not later than 5 days after receipt
22	of the administrative law judge's decision.
23	Within 10 days after receipt of the objec-
24	tion, a Commissioner, if a quorum is seat-

ed pursuant to section 12(f), shall decide

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whether to grant review of the objection. If, within 10 days after receipt of the objection, no decision is made on whether to review the decision of the administrative law judge, the Commission declines to review such decision, or no quorum is seated, the decision of the administrative law judge shall become a final order of the Commission. If the Commission grants review of the objection, the Commission shall issue a decision regarding the stay not later than 30 days after receipt of the objection. If the Commission fails to issue such decision within 30 days, the decision of the administrative law judge shall become a final order of the Commission.

> "(iv) For notification to employees or representatives of affected employees of requests for such hearings and shall provide affected employees or representatives of affected employees an opportunity to participate as parties to such hearings.".

1 SEC. 311. INACTION BY THE REVIEW COMMISSION.

- 2 Section 10 (29 U.S.C. 659), as amended by section
- 3 310, is further amended by adding at the end the fol-
- 4 lowing:
- 5 "(e) INACTION BY REVIEW COMMISSION.—
- 6 "(1) In General.—A petition for review of a
- 7 decision or order issued by an administrative law
- 8 judge that has been filed in a timely manner, but for
- 9 which the Commission has failed to issue a final de-
- cision and order after 1 year of the acceptance of
- such petition because the Commission lacks a
- 12 quorum, the decision or order for which such peti-
- tion has been filed—
- 14 "(A) shall be deemed a final decision or
- order of the Commission; and
- 16 "(B) may be appealed pursuant to section
- 17 11(a).
- 18 "(2) Exception.—Paragraph (1) shall not
- apply with respect to motions to stay filed under
- subsection (d)(3).".
- 21 SEC. 312. CONFORMING AMENDMENTS.
- 22 (a) Violations Designated as Serious, Will-
- 23 Ful, or Repeated.—The first sentence of section 10(b)
- 24 (29 U.S.C. 659(b)) is amended by inserting ", with the
- 25 exception of violations designated as serious, willful, or re-
- 26 peated," after "(which period shall not begin to run".

1 (b) JUDICIAL REVIEW.—The first sentence of section 2 11(a) (29 U.S.C. 660(a)) is amended— 3 (1) by inserting "(or the failure of the Commission, including an administrative law judge, to make 5 a timely decision on a petition for a stay or other review)" after "an order of the Commission"; 6 (2) by striking "subsection (c)" and inserting 7 "subsection (c), (d), or (e)"; and 8 (3) by inserting "(or in the case of a petition 9 10 from a final Commission order regarding a stay 11 under section 10(d), 15 days)" after "sixty days". 12 (c) Failure To Correct Violations.—Section 13 17(d) (29 U.S.C. 666(d)) is amended to read as follows: 14 "(d) Any employer who fails to correct a violation 15 designated by the Secretary as serious, willful, or repeated and for which a citation has been issued under section 9(a) 16 within the period permitted for its correction (and a stay has not been issued by the Commission under section 18 19 10(d)) may be assessed a civil penalty of not more than 20 \$7,000 for each day during which such failure or violation 21 continues. Any employer who fails to correct any other violation for which a citation has been issued under section 23 9(a) within the period permitted for its correction (which period shall not begin to run until the date of the final order of the Commission in the case of any review pro-

ceeding under section 10 initiated by the employer in good faith and not solely for delay of avoidance of penalties) 3 may be assessed a civil penalty of not more than \$7,000 4 for each day during which such failure or violation con-5 tinues.". 6 SEC. 313. CIVIL PENALTIES. 7 (a) IN GENERAL.—Section 17 (29 U.S.C. 666) is 8 amended— 9 (1) in subsection (a)— (A) by striking "\$70,000" and inserting 10 "\$126,749"; 11 (B) by striking "\$5,000" and inserting 12 "\$9,054"; and 13 14 (C) by adding at the end the following: "In 15 determining whether a violation is repeated, the 16 Secretary or the Commission shall consider the 17 employer's history of violations under this Act 18 and under State occupational safety and health 19 plans established under section 18. If such a 20 willful or repeated violation caused or contrib-21 uted to the death of an employee, such civil 22 penalty amounts shall be increased to not more 23 than \$250,000 for each such violation, but not 24 less than \$50,000 for each such violation, ex-

cept that for an employer with 25 or fewer em-

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1	ployees such penalty shall not be less than
2	\$25,000 for each such violation.";
3	(2) in subsection (b)—
4	(A) by striking "\$7,000" and inserting
5	"\$12,675"; and
6	(B) by adding at the end the following: "If
7	such a violation caused or contributed to the
8	death of an employee, such civil penalty
9	amounts shall be increased to not more than
10	\$50,000 for each such violation, but not less
11	than \$20,000 for each such violation, except
12	that for an employer with 25 or fewer employ-
13	ees such penalty shall not be less than \$10,000
14	for each such violation.";
15	(3) in subsection (c), by striking "\$7,000" and
16	inserting "\$12,675";
17	(4) in subsection (d), as amended by section
18	312(c), by striking "\$7,000" each place it occurs
19	and inserting "\$12,675";
20	(5) by redesignating subsections (e) through (i)
21	as subsections (f) through (j), and subsections (j)
22	through (l) as subsections (l) through (n) respec-
23	tively; and
24	(6) in subsection (j) (as so redesignated) by
25	striking "\$7,000" and inserting "\$12,000".

- 1 (b) Inflation Adjustment.—Section 17, as
- 2 amended by subsection (a), is further amended by insert-
- 3 ing after subsection (d) the following:
- 4 "(e) Amounts provided under this section for civil
- 5 penalties shall be adjusted by the Secretary once each
- 6 year, not later than January 15 of such year, to account
- 7 for any percentage increase or decrease in the Consumer
- 8 Price Index for all urban consumers, and consistent with
- 9 the requirements of the Federal Civil Penalties Inflation
- 10 Adjustment Act of 1990 (28 U.S.C. 2461 note).".
- 11 SEC. 314. CRIMINAL PENALTIES.
- 12 (a) IN GENERAL.—Section 17 (29 U.S.C. 666), as
- 13 amended by section 313, is further amended—
- 14 (1) by amending subsection (f) (as redesignated
- by section 313(a)(5)) to read as follows:
- 16 ``(f)(1) Any employer who knowingly violates any
- 17 standard, rule, or order promulgated under section 6 of
- 18 this Act, or of any regulation prescribed under this Act,
- 19 and that violation caused or significantly contributed to
- 20 the death of any employee, shall, upon conviction, be pun-
- 21 ished by a fine in accordance with title 18, United States
- 22 Code, or by imprisonment for not more than 10 years, or
- 23 both, except that if the conviction is for a violation com-
- 24 mitted after a first conviction of such person under this
- 25 subsection or subsection (j), punishment shall be by a fine

- 1 in accordance title 18, United States Code, or by imprison-
- 2 ment for not more than 20 years, or by both.
- 3 "(2) For the purpose of this subsection, the term 'em-
- 4 ployer' means, in addition to the definition contained in
- 5 section 3 of this Act, any officer or director.";
- 6 (2) by amending subsection (g) (as redesignated
- 7 by section 313(a)(5)) to read as follows:
- 8 "(g) Unless otherwise authorized by this Act, any
- 9 person that knowingly gives, causes to give, or attempts
- 10 to give or cause to give, advance notice of any inspection
- 11 conducted under this Act with the intention of impeding,
- 12 interfering with, or adversely affecting the results of such
- 13 inspection, shall be fined under title 18, United States
- 14 Code, imprisoned for not more than 5 years, or both.";
- 15 (3) in subsection (h) (as redesignated by section
- 16 313(a)(5)), by striking "fine of not more than
- \$10,000, or by imprisonment for not more than six
- months," and inserting "fine in accordance with title
- 19 18, United States Code, or by imprisonment for not
- 20 more than 5 years,"; and
- 21 (4) by inserting after subsection (j) (as redesig-
- nated by section 313(a)(5)) the following:
- 23 "(k)(1) Any employer who knowingly violates any
- 24 standard, rule, or order promulgated under section 6, or
- 25 any regulation prescribed under this Act, and that viola-

- 1 tion caused or significantly contributed to serious bodily
- 2 harm to any employee but does not cause death to any
- 3 employee, shall, upon conviction, be punished by a fine in
- 4 accordance with title 18, United States Code, or by impris-
- 5 onment for not more than 5 years, or by both, except that
- 6 if the conviction is for a violation committed after a first
- 7 conviction of such person under this subsection or sub-
- 8 section (f), punishment shall be by a fine in accordance
- 9 with title 18, United States Code, or by imprisonment for
- 10 not more than 10 years, or by both.
- 11 "(2) For the purpose of this subsection, the term 'em-
- 12 ployer' means, in addition to the definition contained in
- 13 section 3 of this Act, any officer or director.
- 14 "(3) For purposes of this subsection, the term 'seri-
- 15 ous bodily harm' means bodily injury or illness that in-
- 16 volves—
- 17 "(A) a substantial risk of death;
- 18 "(B) protracted unconsciousness;
- 19 "(C) protracted and obvious physical disfigure-
- 20 ment; or
- 21 "(D) protracted loss or impairment, either tem-
- porary or permanent, of the function of a bodily
- 23 member, organ, or mental faculty.".
- 24 (b) Jurisdiction for Prosecution Under State
- 25 AND LOCAL CRIMINAL LAWS.—Section 17 (29 U.S.C.

44 666), as amended by subsection (a), is further amended by adding at the end the following: 3 "(o) Nothing in this Act shall preclude a State or local law enforcement agency from conducting criminal prosecutions in accordance with the laws of such State or 6 locality.". SEC. 315. PREJUDGMENT INTEREST. 8 Section 17(n) (29 U.S.C. 666(n)) (as redesignated by section 313(a)(5)) is amended by adding at the end the 10 following: "Pre-final order interest on such penalties shall begin to accrue on the date the party contests a citation issued under this Act, and shall end upon the issuance of the final order. Such pre-final order interest shall be calculated at the current underpayment rate determined 14 15 by the Secretary of the Treasury pursuant to section 6621 of the Internal Revenue Code of 1986, and shall be com-16 17 pounded daily. Post-final order interest shall begin to accrue 30 days after the date a final order of the Commis-18 19 sion or the court is issued, and shall be charged at the rate of 8 percent per year.". 20 TITLE IV—STATE PLANS SEC. 401. CONCURRENT ENFORCEMENT AUTHORITY AND

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- 23 REVIEW OF STATE OCCUPATIONAL SAFETY
- 24 AND HEALTH PLANS.
- 25 Section 18 (29 U.S.C. 667) is amended—

1 (1) by amending subsection (f) to read as fol-2 lows: 3 "(f)(1) The Secretary shall, on the basis of reports submitted by the State agency and the Secretary's own 5 inspections, make a continuing evaluation of the manner in which each State that has a plan approved under this 6 section is carrying out such plan. Such evaluation shall 8 include an assessment of whether the State continues to meet the requirements of subsection (c) of this section and 10 any other criteria or indices of effectiveness specified by the Secretary in regulations. Whenever the Secretary 12 finds, on the basis of such evaluation, that in the administration of the State plan there is a failure to comply substantially with any provision of the State plan (or any as-14 15 surance contained therein), the Secretary shall make an initial determination of whether the failure is of such a 16 17 nature that the plan should be withdrawn or whether the failure is of such a nature that the State should be given 18 19 the opportunity to remedy the deficiencies, and provide no-20 tice of the Secretary's findings and initial determination. 21 "(2) If the Secretary makes an initial determination to reassert and exercise concurrent enforcement authority while the State is given an opportunity to remedy the deficiencies, the Secretary shall afford the State an opportunity for a public hearing within 15 days of such request,

- 1 provided that such request is made not later than 10 days
- 2 after the Secretary's notice to the State. The Secretary
- 3 shall review and consider the testimony, evidence, or writ-
- 4 ten comments, and not later than 30 days following such
- 5 hearing, make a determination to affirm, reverse, or mod-
- 6 ify the Secretary's initial determination to reassert and ex-
- 7 ercise concurrent enforcement authority under sections 8,
- 8 9, 10, 13, and 17 with respect to standards promulgated
- 9 under section 6 and obligations under section 5(a). Fol-
- 10 lowing such a determination by the Secretary, or in the
- 11 event that the State does not request a hearing within the
- 12 timeframe set forth in this paragraph, the Secretary may
- 13 reassert and exercise such concurrent enforcement author-
- 14 ity, while a final determination is pending under para-
- 15 graph (3) or until the Secretary has determined that the
- 16 State has remedied the deficiencies as provided under
- 17 paragraph (4). Such determination shall be published in
- 18 the Federal Register. The procedures set forth in sub-
- 19 section (g) shall not apply to a determination by the Sec-
- 20 retary to reassert and exercise such concurrent enforce-
- 21 ment authority.
- 22 "(3) If the Secretary makes an initial determination
- 23 that the plan should be withdrawn, the Secretary shall
- 24 provide due notice and the opportunity for a hearing. If
- 25 based on the evaluation, comments, and evidence, the Sec-

- 1 retary makes a final determination that there is a failure
- 2 to comply substantially with any provision of the State
- 3 plan (or any assurance contained therein), the Secretary
- 4 shall notify the State agency of the withdrawal of approval
- 5 of such plan and upon receipt of such notice such plan
- 6 shall cease to be in effect, but the State may retain juris-
- 7 diction in any case commenced before the withdrawal of
- 8 the plan in order to enforce standards under the plan
- 9 whenever the issues involved do not relate to the reasons
- 10 for the withdrawal of the plan.
- 11 "(4) If the Secretary makes a determination that the
- 12 State should be provided the opportunity to remedy the
- 13 deficiencies, the Secretary shall provide the State an op-
- 14 portunity to respond to the Secretary's findings and the
- 15 opportunity to remedy such deficiencies within a time pe-
- 16 riod established by the Secretary, not to exceed 1 year.
- 17 The Secretary may extend and revise the time period to
- 18 remedy such deficiencies, if the State's legislature is not
- 19 in session during this 1-year time period, or if the State
- 20 demonstrates that it is not feasible to correct the defi-
- 21 ciencies in the time period set by the Secretary, and the
- 22 State has a plan to correct the deficiencies within a rea-
- 23 sonable time period. If the Secretary finds that the State
- 24 agency has failed to remedy such deficiencies within the
- 25 time period specified by the Secretary and that the State

- 1 plan continues to fail to comply substantially with a provi-
- 2 sion of the State plan, the Secretary shall withdraw the
- 3 State plan as provided for in paragraph (3)."; and
- 4 (2) by adding at the end the following new sub-
- 5 section:
- 6 "(i) Not later than 18 months after the date of enact-
- 7 ment of this subsection, and again 5 years thereafter, the
- 8 Comptroller General of the United States shall complete
- 9 and issue a review of the effectiveness of State plans to
- 10 develop and enforce safety and health standards to deter-
- 11 mine if they are at least as effective as the Federal pro-
- 12 gram and to evaluate whether the Secretary's oversight
- 13 of State plans is effective. The Comptroller General's eval-
- 14 uation shall assess—
- 15 "(1) the effectiveness of the Secretary's over-
- sight of State plans, including the indices of effec-
- tiveness used by the Secretary;
- 18 "(2) whether the Secretary's investigations in
- response to Complaints About State Program Ad-
- 20 ministration (CASPA) are adequate, whether signifi-
- 21 cant policy issues have been identified by head-
- 22 quarters, and whether corrective actions are fully
- 23 implemented by each State;

1	"(3) whether the formula for the distribution of
2	funds described in section 23(g) to State programs
3	is fair and adequate; and
4	"(4) whether State plans are as effective as the
5	Federal program in preventing occupational injuries,
6	illnesses and deaths, and investigating discrimina-
7	tion complaints, through an evaluation of at least 20
8	percent of approved State plans, and which shall
9	cover—
10	"(A) enforcement effectiveness, including
11	handling of fatalities, serious incidents and
12	complaints, compliance with inspection proce-
13	dures, hazard recognition, verification of abate-
14	ment, violation classification, citation and pen-
15	alty issuance, including appropriate use of will-
16	ful and repeat citations, and employee involve-
17	ment;
18	"(B) inspections, the number of pro-
19	grammed health and safety inspections at pri-
20	vate and public sector establishments, and
21	whether the State targets the highest hazard
22	private sector worksites and facilities in that
23	State;
24	"(C) budget and staffing, including wheth-
25	er the State is providing adequate budget re-

sources to hire, train, and retain sufficient numbers of qualified staff, including timely filling of vacancies;

"(D) administrative review, including the quality of decisions, consistency with Federal precedence, transparency of proceedings, availability of decisions and records to the public, adequacy of State defense, and whether the State appropriately appeals adverse decisions;

"(E) anti-discrimination, including whether discrimination complaints are processed in a timely manner, whether supervisors and investigators are properly trained to investigate discrimination complaints, whether a case file review indicates merit cases are properly identified consistent with Federal policy and procedure, whether employees are notified of their rights, and whether there is an effective process for employees to appeal the dismissal of a complaint;

"(F) program administration, including whether the State's standards and policies are at least as effective as the Federal program and are updated in a timely manner, and whether National Emphasis Programs that are applica-

1	ble in such States are adopted and implemented
2	in a manner that is at least as effective as the
3	Federal program;
4	"(G) whether the State plan satisfies the
5	requirements for approval set forth in this sec-
6	tion and its implementing regulations; and
7	"(H) other such factors identified by the
8	Comptroller General, or as requested by the
9	Committee on Education and the Workforce of
10	the House of Representatives or the Committee
11	on Health, Education, Labor, and Pensions of
12	the Senate.".
13	SEC. 402. EVALUATION OF REPEATED VIOLATIONS IN
13 14	SEC. 402. EVALUATION OF REPEATED VIOLATIONS IN STATE PLANS.
14	STATE PLANS.
14 15	Section 18(c) (29 U.S.C. 668(c)) is amended—
14 15 16	Section 18(c) (29 U.S.C. 668(c)) is amended— (1) in paragraph (7), by striking ", and" and
14 15 16 17	Section 18(c) (29 U.S.C. 668(c)) is amended— (1) in paragraph (7), by striking ", and" and inserting a comma;
14 15 16 17 18	Section 18(c) (29 U.S.C. 668(c)) is amended— (1) in paragraph (7), by striking ", and" and inserting a comma; (2) in paragraph (8), by striking the period at
14 15 16 17 18	Section 18(c) (29 U.S.C. 668(c)) is amended— (1) in paragraph (7), by striking ", and" and inserting a comma; (2) in paragraph (8), by striking the period at the end and inserting ", and"; and
14 15 16 17 18 19 20	Section 18(c) (29 U.S.C. 668(c)) is amended— (1) in paragraph (7), by striking ", and" and inserting a comma; (2) in paragraph (8), by striking the period at the end and inserting ", and"; and (3) by adding at the end the following new
14 15 16 17 18 19 20 21	State Plans. Section 18(c) (29 U.S.C. 668(c)) is amended— (1) in paragraph (7), by striking ", and" and inserting a comma; (2) in paragraph (8), by striking the period at the end and inserting ", and"; and (3) by adding at the end the following new paragraph:
14 15 16 17 18 19 20 21	Section 18(c) (29 U.S.C. 668(c)) is amended— (1) in paragraph (7), by striking ", and" and inserting a comma; (2) in paragraph (8), by striking the period at the end and inserting ", and"; and (3) by adding at the end the following new paragraph: "(9) provides that in determining whether a

- 1 States' occupational safety and health plans ap-
- 2 proved by the Secretary and the employer's history
- of violations in those States where the Secretary has
- 4 jurisdiction under this Act, in a manner that is at
- 5 least as effective as provided under section 17.".

6 TITLE V—NATIONAL INSTITUTE

7 FOR OCCUPATIONAL SAFETY

8 AND HEALTH

- 9 SEC. 501. HEALTH HAZARD EVALUATIONS BY THE NA-
- 10 TIONAL INSTITUTE FOR OCCUPATIONAL
- 11 SAFETY AND HEALTH.
- 12 Section 20(a)(6) (29 U.S.C. 669(a)(6)) is amended
- 13 by striking the second sentence and inserting the fol-
- 14 lowing: "The Secretary shall determine following a written
- 15 request by any employer, authorized representative of cur-
- 16 rent or former employees, physician, other Federal agency,
- 17 or State or local health department, specifying with rea-
- 18 sonable particularity the grounds on which the request is
- 19 made, whether any substance normally found in the place
- 20 of employment has potentially toxic effects in such con-
- 21 centrations as used or found, or whether any physical
- 22 agents, equipment, or working condition found or used has
- 23 potentially hazardous effects. The Secretary shall submit
- 24 such determination both to employers and affected em-
- 25 ployees as soon as possible.".

1 TITLE VI—EFFECTIVE DATE

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- 3 (a) GENERAL RULE.—Except as provided for in sub-
- 4 section (b), this Act and the amendments made by this
- 5 Act shall take effect not later than 90 days after the date
- 6 of the enactment of this Act.
- 7 (b) Exception for States and Political Sub-
- 8 DIVISIONS.—The following are exceptions to the effective
- 9 date described in subsection (a):
- 10 (1) A State that has a State plan approved
- under section 18 of the Occupational Safety and
- Health Act of 1970 (29 U.S.C. 667) shall amend its
- 13 State plan to conform with the requirements of this
- Act and the amendments made by this Act not later
- than 12 months after the date of the enactment of
- this Act. The Secretary of Labor may extend the pe-
- 17 riod for a State to make such amendments to its
- 18 State plan by not more than 12 months, if the
- 19 State's legislature is not in session during the 12-
- 20 month period beginning with the date of the enact-
- 21 ment of this Act. Such amendments to the State
- plan shall take effect not later than 90 days after
- 23 the adoption of such amendments by such State.
- 24 (2) This Act and the amendments made by this
- Act shall take effect not later than 36 months after

- the date of the enactment of this Act with respect
 to a workplace of a State, or a political subdivision
- 3 of a State, that does not have a State plan approved

4 under such section 18.

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