^{116TH CONGRESS} 1ST SESSION **S. 1842**

AUTHENTICATED U.S. GOVERNMENT INFORMATION

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To protect the personal health data of all Americans.

IN THE SENATE OF THE UNITED STATES

JUNE 13, 2019

Ms. KLOBUCHAR (for herself and Ms. MURKOWSKI) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

A BILL

To protect the personal health data of all Americans.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- **3** SECTION 1. SHORT TITLE.
- 4 This Act may be cited as the "Protecting Personal
- 5 Health Data Act".

6 SEC. 2. FINDINGS.

- 7 Congress finds as follows:
- 8 (1) On July 19, 2016, the Department of 9 Health and Human Services, acting through the Of-10 fice of the National Coordinator for Health Informa-11 tion Technology and in coordination with the Office

1 for Civil Rights of the Department of Health and 2 Human Services and the Federal Trade Commission, issued a report to Congress entitled "Examining 3 4 Oversight of the Privacy & Security of Health Data 5 Collected by Entities Not Regulated by HIPAA" (re-6 ferred to in this section as the "report") about the 7 need to enact modern protections for consumers' 8 personal health data.

9 (2) The report states that "[t]he wearable fit-10 ness trackers, social media sites where individuals 11 share health information through specific social net-12 works, and other technologies that are common 13 today did not exist when Congress enacted the 14 Health Insurance Portability and Accountability Act 15 of 1996".

16 (3) The report states that entities not covered 17 by the privacy protections of the Health Insurance 18 Portability and Accountability Act of 1996 (Public 19 Law 104–191), such as wearable fitness trackers and health-focused social media sites, "engage in a 20 21 variety of practices such as online advertising and 22 marketing, commercial uses or sale of individual in-23 formation, and behavioral tracking practices, all of 24 which indicate information use that is likely broader 25 than what individuals would anticipate".

| 1 | (4) The report "identifies key gaps that exist |
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| 2 | between HIPAA regulated entities and those not |
| 3 | regulated by HIPAA" and "recommends addressing |
| 4 | those gaps in a way that protects consumers while |
| 5 | leveling the playing field for innovators inside and |
| 6 | outside of HIPAA". |
| 7 | SEC. 3. DEFINITIONS. |
| 8 | In this Act: |
| 9 | (1) Consumer devices, services, applica- |
| 10 | TIONS, AND SOFTWARE.— |
| 11 | (A) IN GENERAL.—Except as provided in |
| 12 | subparagraph (C), the term "consumer devices, |
| 13 | services, applications, and software'' means de- |
| 14 | vices, services, applications, and software— |
| 15 | (i) that are primarily designed for or |
| 16 | marketed to consumers; and |
| 17 | (ii) a substantial purpose or use of |
| 18 | which is to collect or use personal health |
| 19 | data. |
| 20 | (B) INCLUSION.—The term "consumer de- |
| 21 | vices, services, applications, and software" shall |
| 22 | include, but is not limited to— |
| 23 | (i) direct-to-consumer genetic testing |
| 24 | services; |

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| 1 | (ii) cloud-based or mobile technologies |
| 2 | that are designed to collect individuals' |
| 3 | personal health data directly or indirectly |
| 4 | with individuals' consent, which could en- |
| 5 | able sharing of such information, such as |
| 6 | wearable fitness trackers; and |
| 7 | (iii) internet-based social media sites |
| 8 | which are primarily designed for, or mar- |
| 9 | keted to, consumers to collect or use per- |
| 10 | sonal health data, including sites that |
| 11 | share health conditions and experiences. |
| 12 | (C) EXCEPTION.—The term "consumer de- |
| 13 | vices, services, applications, and software" shall |
| 14 | not include— |
| 15 | (i) products on which personal health |
| 16 | data is derived solely from other informa- |
| 17 | tion that is not personal health data, such |
| 18 | as Global Positioning System data; or |
| 19 | (ii) products primarily designed for, or |
| 20 | marketed to, covered entities and business |
| 21 | associates (as defined for purposes of regu- |
| 22 | lations promulgated under section 264(c) |
| 23 | of the Health Insurance Portability and |
| 24 | Accountability Act of 1996 (42 U.S.C. |
| 25 | 1320d–2 note)). |
| | |

1 (2) DIRECT-TO-CONSUMER GENETIC TESTING 2 SERVICES.—The term "direct-to-consumer genetic 3 testing service" means a service, which may include 4 a test that analyzes various aspects of an individ-5 ual's genetic material, that enables a consumer to 6 have access to their genetic information, or to infor-7 mation derived therefrom, without the need to have 8 a health care provider or health insurance issuer 9 participate in the process of gaining access.

10 (3) NATIONAL COORDINATOR.—The term "Na11 tional Coordinator" means the National Coordinator
12 for Health Information Technology at the Depart13 ment of Health and Human Services.

(4) OPERATOR.—The term "operator" means 14 15 any person who operates any type of consumer de-16 vices, services, applications, and software or who 17 provides consumer devices, services, applications, 18 and software for the use of consumers and collects 19 or maintains personal health data from or about the 20 users of such consumer devices, services, applica-21 tions, and software.

(5) PERSONAL HEALTH DATA.—The term "personal health data" means any information, including
genetic information, whether oral or recorded in any
form or medium, that relates to the past, present, or

future physical or mental health or condition of an
 individual and that identifies the individual or with
 respect to which there is a reasonable basis to be lieve that the information can be used to identify the
 individual.

6 (6) SECRETARY.—The term "Secretary" means
7 the Secretary of Health and Human Services.

8 SEC. 4. PROMULGATION OF REGULATIONS FOR OPERA9 TORS OF CONSUMER DEVICES, SERVICES, AP10 PLICATIONS, AND SOFTWARE.

11 (a) IN GENERAL.—Not later than 6 months after the 12 date on which the report is submitted under section 5(d), 13 the Secretary, in consultation with the Chairman of the Federal Trade Commission, the National Coordinator, rel-14 15 evant stakeholders, and heads of such other Federal agencies as the Secretary considers appropriate, shall promul-16 17 gate regulations to help strengthen privacy and security protections for consumers' personal health data that is col-18 19 lected, processed, analyzed, or used by consumer devices, 20 services, applications, and software.

- 21 (b) REQUIREMENTS.—
- (1) IN GENERAL.—The Secretary shall ensure
 that the regulations pursuant to subsection (a)—

24 (A) account for differences in the nature25 and sensitivity of the data collected or stored on

| 2 | software; and |
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| 3 | (B) include such definitions for relevant |
| 4 | terms that are necessary to accomplish the |
| 5 | goals of the regulations set forth in subsection |
| 6 | (a). |
| 7 | (2) REQUIREMENTS OF SECRETARY.—In the |
| 8 | promulgation of regulations under subsection (a), |
| 9 | the Secretary, to the extent practicable, shall— |
| 10 | (A) consider the findings in the report |
| 11 | issued by the Department of Health and |
| 12 | Human Services to Congress entitled "Exam- |
| 13 | ining Oversight of the Privacy & Security of |
| 14 | Health Data Collected by Entities Not Regu- |
| 15 | lated by HIPAA", including findings regarding |
| 16 | individuals' access rights, re-use of data by |
| 17 | third parties, security standards applicable to |
| 18 | data holders and users, confusion or ambiguity |
| 19 | regarding terminology related to privacy and se- |
| 20 | curity protections, and the adequacy of collec- |
| 21 | tion, use, and disclosure limitations; |
| 22 | (B) consider other regulations and guid- |
| 23 | ance issued by the Federal Trade Commission, |
| 24 | and other regulations promulgated under sec- |
| 25 | tion 264(c) of the Health Insurance Portability |

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the consumer device, service, application, or

| 1 | and Accountability Act of 1996 (42 U.S.C. |
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| 2 | 1320d–2 note), subtitle D of the Health Infor- |
| 3 | mation Technology for Economic and Clinical |
| 4 | Health Act (42 U.S.C. 17921 et seq.), Genetic |
| 5 | Information Nondiscrimination Act (Public Law |
| 6 | 110–233, 122 Stat. 881), the Common Rule as |
| 7 | contained in part 46 of title 45, Code of Fed- |
| 8 | eral Regulations, and other related Acts; |
| 9 | (C) consistent with paragraph (3), consider |
| 10 | appropriate uniform standards for consent re- |
| 11 | lated to the handling of genetic data, biometric |
| 12 | data, and personal health data; |
| 13 | (D) consider exceptions to consent require- |
| 14 | ments under subparagraph (C) for purposes |
| 15 | that may include law enforcement, academic re- |
| 16 | search or research for the sole purpose of as- |
| 17 | sessing health care utilization and outcomes, |
| 18 | emergency medical treatment, or determining |
| 19 | paternity; |
| 20 | (E) consider appropriate minimum stand- |
| 21 | ards of security that may differ according to |
| 22 | the nature and sensitivity of the data collected |
| 23 | or stored on, or processed or transferred by, the |
| 24 | consumer device, service, application, or soft- |
| 25 | ware; |
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| 1 | (F) consider appropriate standards for the |
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| 2 | de-identification of personal health data; |
| 3 | (G) consider appropriate limitations on the |
| 4 | collection, use, or disclosure of personal health |
| 5 | data to that which is directly relevant and nec- |
| 6 | essary to accomplish a specified purpose; |
| 7 | (H) consult with the National Coordinator, |
| 8 | the Commissioner of Food and Drugs, and the |
| 9 | Chairman of the Federal Trade Commission; |
| 10 | and |
| 11 | (I) provide for initial and ongoing outreach |
| 12 | regarding regulations affecting industries, busi- |
| 13 | nesses, and individuals to ensure awareness of |
| 14 | consumer privacy and security protections in |
| 15 | the field of digital health technology. |
| 16 | (3) UNIFORM STANDARDS.—In the review of |
| 17 | each of the areas described in paragraph $(2)(C)$, the |
| 18 | Secretary shall consider— |
| 19 | (A) the development of standards for ob- |
| 20 | taining user consent based on how information |
| 21 | will be shared to ensure that prior to the collec- |
| 22 | tion, analysis, use, or disclosure of consumers' |
| 23 | personal health data, an operator of a consumer |
| 24 | device, service, application, or software specifies |

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| 1 | the uses of the personal health data and who |
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| 2 | will have access to the information; |
| 3 | (B) the manner in which consent is ob- |
| 4 | tained in a way that uses clear, concise, and |
| 5 | well-organized language that is easily accessible, |
| 6 | of reasonable length, at an appropriate level of |
| 7 | readability, and clearly distinguishable from |
| 8 | other matters; |
| 9 | (C) a process to limit the transfer of per- |
| 10 | sonal health data to third parties and provide |
| 11 | consumers with greater control over how their |
| 12 | personal health data is used for marketing pur- |
| 13 | poses; |
| 14 | (D) secondary uses outside of the primary |
| 15 | purpose of the service as initially indicated |
| 16 | when consent was first obtained; |
| 17 | (E) a process to permit a withdrawal of |
| 18 | consent to ensure that a user is able to remove |
| 19 | consent for the terms of service for use of the |
| 20 | consumer device, service, application, or soft- |
| 21 | ware, including the collection and use of per- |
| 22 | sonal health data as easily as the user is able |
| 23 | to give such consent; |
| 24 | (F) providing a right to access a copy of |
| 25 | the personal health data that the operator has |

collected, analyzed, or used, free of charge and
 in an electronic and easily accessible format, in cluding a list of each entity that received the
 personal health data from the operator, whether
 through sale or other means; and

6 (G) providing a right to delete and amend
7 personal health data, to the extent practicable,
8 that the operator has collected, analyzed, or
9 used.

(c) UPDATES.—The Secretary shall review and, if
necessary, update the regulations promulgated under subsection (a) in accordance with the requirements under subsection (b).

(d) PUBLIC AVAILABILITY.—The Department of
Health and Human Services shall make prominently available to the public on the Department's internet website,
clear and concise information about available resources related to the regulations promulgated under subsection (a)
and all updates to such resources.

(e) CONSISTENCY OF RESOURCES PUBLISHED BY
FEDERAL AGENCIES.—If a Federal agency publishes resources to help protect consumers' personal health data,
the head of such Federal agency, to the degree practicable,
shall make such resources consistent with the regulations
promulgated under subsection (a).

(f) OTHER FEDERAL PRIVACY AND SECURITY RE QUIREMENTS.—Nothing in this section shall be construed
 to supersede, alter, or otherwise affect any privacy and
 security requirements enforced by Federal agencies.

5 SEC. 5. NATIONAL TASK FORCE ON HEALTH DATA PROTEC-6 TION.

7 (a) ESTABLISHMENT.—The Secretary, in consulta8 tion with the Chairman of the Federal Trade Commission,
9 the National Coordinator, and relevant stakeholders, shall
10 establish a task force, to be known as the National Task
11 Force on Health Data Protection (referred to in this sec12 tion as the "Task Force").

13 (b) DUTIES.—The Task Force shall—

14 (1) study the long-term effectiveness of de-iden15 tification methodologies for genetic data and biomet16 ric data;

(2) evaluate and provide input on the development of security standards, including encryption
standards and transfer protocols, for consumer devices, services, applications, and software;

(3) evaluate and provide input with respect to
addressing cybersecurity risks and security concerns
related to consumer devices, services, applications,
and software;

(4) evaluate and provide input with respect to
 the privacy concerns and protection standards re lated to consumer and employee health data;

4 (5) review and advise on the need, if any, to up5 date the report issued by the Department of Health
6 and Human Services to Congress entitled "Exam7 ining Oversight of the Privacy & Security of Health
8 Data Collected by Entities Not Regulated by
9 HIPAA"; and

10 (6) provide advice and consultation in the es-11 tablishment and dissemination of resources to edu-12 cate and advise consumers about the basics of genet-13 ics and direct-to-consumer genetic testing, and the 14 risks, benefits, and limitations of such testing.

15 (c) MEMBERS.—The Secretary, in consultation with 16 the Chairman of the Federal Trade Commission, the Na-17 tional Coordinator, and relevant stakeholders, shall ap-18 point not more than 15 members to the Task Force. In 19 appointing such members, the Secretary shall ensure that 20 the total membership of the Task Force is an odd number 21 and represents a diverse set of stakeholder perspectives.

(d) REPORTING.—Not later than 1 year after the
date of enactment of this Act, the Task Force shall prepare and submit to the Committee on Commerce, Science,
and Transportation of the Senate, the Committee on

Health, Education, Labor, and Pensions of the Senate, the 1 Committee on Homeland Security and Governmental Af-2 3 fairs of the Senate, the Committee on Energy and Com-4 merce of the House of Representatives, the Committee on 5 Homeland Security of the House of Representatives, the Secretary, the Chairman of the Federal Trade Commis-6 7 sion, and the Commissioner of Food and Drugs, a report 8 on the findings of the Task Force.

9 (e) AUTHORIZATION OF APPROPRIATIONS.—There
10 are authorized to be appropriated such sums as may be
11 necessary to carry out this section.

12 (f) FEDERAL ADVISORY COMMITTEE ACT.—The
13 Federal Advisory Committee Act (5 U.S.C. App.) shall
14 apply to the Task Force.

15 (g) SUNSET.—

16 (1) IN GENERAL.—The Task Force shall termi17 nate on the date that is 5 years after the date of the
18 first meeting of the Task Force.

19 (2) RECOMMENDATION.—Not later than the
20 date that is one year prior to the termination of the
21 Task Force under paragraph (1), the Secretary shall
22 submit to Congress a recommendation on whether
23 the Task Force should be extended.

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