

HOUSE BILL 149

J1, J3

4lr0993

(PRE-FILED)

By: Delegates Pippy ~~and Kerr~~, Kerr, Pena-Melnyk, Cullison, Alston, Bagnall, Bhandari, Chisholm, Hill, Hutchinson, S. Johnson, Kaiser, Kipke, R. Lewis, Lopez, Martinez, M. Morgan, Reilly, Rosenberg, Szeliga, Taveras, White Holland, and Woods

Requested: October 17, 2023

Introduced and read first time: January 10, 2024

Assigned to: Health and Government Operations

Committee Report: Favorable with amendments

House action: Adopted

Read second time: February 26, 2024

CHAPTER _____

1 AN ACT concerning

2 **Medical Records – Destruction – ~~Authorization~~ Notice and Retrieval**

3 FOR the purpose of extending the time period during which a health care provider is
4 prohibited from destroying medical records and laboratory and X-ray reports ~~and~~
5 ~~altering the circumstances under which the health care provider can destroy a record~~
6 ~~or report during that time period; requiring that a request for authorization to~~
7 ~~destroy a record or report include certain information and a certain request;~~
8 requiring that the notice required to be provided regarding the destruction of medical
9 records be made by e-mail; requiring a health care provider, ~~if authorization to~~
10 ~~destroy a record or report is provided~~, to make a medical record available for retrieval
11 by a patient or a parent or guardian of a minor patient within a certain time period
12 and at a certain location; and generally relating to the destruction of medical records.

13 BY repealing and reenacting, with amendments,

14 Article – Health – General

15 Section 4-403

16 Annotated Code of Maryland

17 (2023 Replacement Volume)

18 SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND,

19 That the Laws of Maryland read as follows:

EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW.

[Brackets] indicate matter deleted from existing law.

Underlining indicates amendments to bill.

~~Strike out~~ indicates matter stricken from the bill by amendment or deleted from the law by amendment.



Article – Health – General

1
2 4–403.

3 (a) (1) In this section, a “health care provider” means:

4 (i) An acupuncturist;

5 (ii) An audiologist;

6 (iii) A chiropractor;

7 (iv) A dietitian;

8 (v) A dentist;

9 (vi) An electrologist;

10 (vii) A health care facility that is:

11 1. A freestanding ambulatory care facility as defined under §
12 19–3B–01 of this article;

13 2. A freestanding medical facility as defined under §
14 19–3A–01 of this article;

15 3. A health care facility as defined under § 10–101 of this
16 article;

17 4. A health maintenance organization as defined under §
18 19–701 of this article;

19 5. A hospital as defined under § 19–301 of this article;

20 6. A limited service hospital as defined under § 19–301 of this
21 article;

22 7. A related institution as defined in § 19–301 of this article;
23 and

24 8. A residential treatment center as defined under § 19–301
25 of this article;

26 (viii) A massage therapist;

27 (ix) A mortician;

- 1 (x) A nurse;
- 2 (xi) A nutritionist;
- 3 (xii) An occupational therapist;
- 4 (xiii) An optometrist;
- 5 (xiv) A physical therapist;
- 6 (xv) A physician;
- 7 (xvi) A podiatrist;
- 8 (xvii) A professional counselor;
- 9 (xviii) A psychologist;
- 10 (xix) A social worker;
- 11 (xx) A speech–language pathologist; and
- 12 (xxi) A pharmacist.

13 (2) “Health care provider” includes an agent, employee, officer, or director
14 of any entity listed under paragraph (1) of this subsection.

15 (b) Except for a minor patient, unless a patient ~~[is notified,] PROVIDES WRITTEN~~
16 ~~AUTHORIZATION IN RESPONSE TO A REQUEST TO DESTROY A RECORD OR REPORT~~
17 ~~FROM~~ a health care provider, ~~THE HEALTH CARE PROVIDER~~ may not destroy a medical
18 record or laboratory or X–ray report about a patient for ~~[5] 10~~ 7 years after the record or
19 report is made.

20 (c) In the case of a minor patient, a medical record or laboratory or X–ray report
21 about a minor patient may not be destroyed until the patient attains the age of majority
22 plus ~~[3 years or for 5 years after the record or report is made, whichever is later]~~ 10 7
23 ~~YEARS~~, unless:

24 (1) The parent or guardian of the minor patient ~~[is notified,] PROVIDES~~
25 ~~WRITTEN AUTHORIZATION IN RESPONSE TO A REQUEST FROM A HEALTH CARE~~
26 ~~PROVIDER TO DESTROY THE RECORD OR REPORT~~; or

27 (2) If the medical care documented in the record was provided under §
28 20–102(c) or § 20–103(c) of this article, the minor patient ~~[is notified,] PROVIDES WRITTEN~~

1 ~~AUTHORIZATION IN RESPONSE TO A REQUEST FROM A HEALTH CARE PROVIDER TO~~
 2 ~~DESTROY THE RECORD.~~

3 (d) ~~¶~~The notice] ~~A REQUEST MADE~~ under [subsections] SUBSECTION (b) [and]
 4 OR (c) of this section shall:

5 (1) Be made by ~~first-class~~:

6 (I) FIRST-CLASS mail to the last known address of the patient;
 7 AND

8 (II) E-MAIL TO THE LAST KNOWN E-MAIL ADDRESS OF:

9 1. THE PATIENT; OR

10 2. IF THE PATIENT IS A MINOR AND THE MEDICAL CARE
 11 DOCUMENTED IN THE RECORD WAS NOT PROVIDED UNDER § 20-102(C) OR §
 12 20-103(C) OF THIS ARTICLE, THE PARENT OR GUARDIAN OF THE PATIENT;

13 (2) Include the date on which the record of the patient ~~¶~~shall] ~~IS~~
 14 ~~PROPOSED TO~~ be destroyed; ~~¶~~and]

15 (3) Include a statement that the record or synopsis of the record, if wanted,
 16 must be retrieved at a designated location [within 30 days of the proposed date of
 17 destruction] ~~IF DESTRUCTION OF THE RECORD IS AUTHORIZED; AND~~

18 (4) ~~REQUEST THE PATIENT'S WRITTEN AUTHORIZATION TO DESTROY~~
 19 ~~THE RECORD.~~

20 (E) ~~IF AUTHORIZATION FOR THE DESTRUCTION OF A MEDICAL RECORD OR~~
 21 ~~LABORATORY OR X-RAY REPORT IS GIVEN, THE~~ THE HEALTH CARE PROVIDER
 22 SHALL MAKE THE RECORD OR REPORT AVAILABLE FOR RETRIEVAL:

23 (1) BY THE PATIENT OR THE PARENT OR GUARDIAN OF THE MINOR
 24 PATIENT WITHIN 60 DAYS BEFORE THE ~~PROPOSED~~ DATE OF DESTRUCTION; AND

25 (2) AT THE LOCATION DESIGNATED IN THE ~~REQUEST FOR~~
 26 ~~AUTHORIZATION TO DESTROY~~ NOTICE OF DESTRUCTION OF THE RECORD OR
 27 REPORT.

28 [(e)] (F) After the death, retirement, surrender of the license, or discontinuance
 29 of the practice or business of a health care provider, the health care provider, the
 30 administrator of the estate, or a designee who agrees to provide for the maintenance of the
 31 medical records of the practice or business and who states, in writing to the appropriate

1 health occupation board within a reasonable time, that the records will be maintained in
2 compliance with this section, shall:

3 ~~(1) Forward~~ **FORWARD** the notice ~~[required in this section]~~ ~~DESCRIBED~~
4 ~~IN PARAGRAPH (2) OF THIS SUBSECTION~~ before the destruction or transfer of medical
5 records **TO:**

6 ~~(i) (1)~~ **(1) THE PATIENT; OR**

7 ~~(ii) (2)~~ **FOR A MINOR PATIENT, THE PARENT OR GUARDIAN OF THE**
8 **MINOR PATIENT UNLESS THE MEDICAL CARE DOCUMENTED IN THE RECORD WAS**
9 **PROVIDED UNDER § 20-102(C) OR § 20-103(C) OF THIS ARTICLE;**~~or~~

10 ~~(2) Publish a notice in a daily newspaper that is circulated locally for 2~~
11 ~~consecutive weeks;~~

12 ~~(i) Stating the date that the medical records will be destroyed or~~
13 ~~transferred; and~~

14 ~~(ii) Designating a location, date, and time where the medical records~~
15 ~~may be retrieved, if wanted.~~

16 **[(f)] (G)** (1) After consulting with the **[Association of Maryland Hospitals and**
17 **Health Systems]** **MARYLAND HOSPITAL ASSOCIATION**, the Maryland State Medical
18 Society, and other interested parties, including consumers and payors, the Secretary shall
19 adopt regulations governing the destruction of medical records.

20 (2) The regulations adopted under this subsection shall:

21 (i) Specify the manner in which a health care provider shall
22 maintain and store medical records to:

23 1. Ensure confidentiality; and

24 2. Provide limited access to the medical records until the
25 records are destroyed; and

26 (ii) Ensure that the method of destruction renders the medical
27 records unreadable.

28 (3) The regulations adopted under this subsection may not:

29 (i) Require or encourage the destruction of medical records; or

30 (ii) Be inconsistent with any provision of law applicable to the
31 maintenance or destruction of medical records.

1 ~~[(g)]~~ **(H)** (1) A health care provider or any other person who knowingly
2 violates any provision of this subtitle is liable for actual damages.

3 (2) (i) In addition to any other penalties provided under this article, a
4 health care facility that knowingly violates this section is subject to an administrative fine
5 not exceeding \$10,000 for all violations cited in a single day.

6 (ii) 1. In addition to any other penalties provided under this
7 article, an individual who knowingly violates this section is subject to the fines provided in
8 subsubparagraph 2 of this subparagraph if the individual is:

9 A. A health care provider, as defined under subsection
10 (a)(1)(i) through (vi) or (viii) through (xx) of this section; or

11 B. An agent, employee, officer, or director of a health care
12 provider.

13 2. The administrative fines applicable to an individual
14 covered under subsubparagraph 1 of this subparagraph shall be assessed as follows:

15 A. The first fine assessed or first set of fines assessed
16 concurrently for all violations cited in a single day may not exceed \$1,000;

17 B. The second fine assessed or second set of fines assessed
18 concurrently for all violations cited in a single day may not exceed \$2,500; and

19 C. The third or subsequent fine assessed or third or
20 subsequent set of fines assessed concurrently for all violations cited in a single day may not
21 exceed \$5,000.

22 SECTION 2. AND BE IT FURTHER ENACTED, That this Act shall take effect
23 October 1, 2024.

Approved:

Governor.

Speaker of the House of Delegates.

President of the Senate.