AUTHENTICATED U.S. COVERNMENT INFORMATION GPO

# 116TH CONGRESS 1ST SESSION H.R. 2528

# **AN ACT**

To direct the Director of the Office of Science and Technology Policy to carry out programs and activities to ensure that Federal science agencies and institutions of higher education receiving Federal research and development funding are fully engaging their entire talent pool, and for other purposes. 1 Be it enacted by the Senate and House of Representa-

2 tives of the United States of America in Congress assembled,

# **3** SECTION 1. SHORT TITLE; TABLE OF CONTENTS; FINDINGS.

- 4 (a) SHORT TITLE.—This Act may be cited as the
  5 "STEM Opportunities Act of 2019".
- 6 (b) TABLE OF CONTENTS.—The table of contents for

# 7 this Act is as follows:

- Sec. 1. Short title; table of contents; findings.
- Sec. 2. Purposes.
- Sec. 3. Federal science agency policies for caregivers.
- Sec. 4. Collection and reporting of data on Federal research grants.
- Sec. 5. Policies for review of Federal research grants.
- Sec. 6. Collection of data on demographics of faculty.
- Sec. 7. Cultural and institutional barriers to expanding the academic and Federal STEM workforce.
- Sec. 8. Research and dissemination at the National Science Foundation.
- Sec. 9. Research and related activities to expand STEM opportunities.
- Sec. 10. Tribal Colleges and Universities Program.
- Sec. 11. Report to Congress.
- Sec. 12. Merit review.
- Sec. 13. Definitions.
- 8 (c) FINDINGS.—The Congress finds the following:
- 9 (1) Many reports over the past decade have 10 found that it is critical to our Nation's economic 11 leadership and global competitiveness that the 12 United States educates and trains more scientists 13 and engineers.
- (2) Research shows that women and minorities
  who are interested in STEM careers are disproportionately lost at nearly every educational transition
  and at every career milestone.
- 18 (3) The National Center for Science and Engi19 neering Statistics at the National Science Founda•HR 2528 EH

tion collects, compiles, analyzes, and publishes data
 on the demographics of STEM degrees and STEM
 jobs in the United States.

4 (4) Women now earn nearly 37 percent of all 5 STEM bachelor's degrees, but major variations per-6 sist among fields. In 2017, women earned only 20 7 percent of all bachelor's degrees awarded in engi-8 neering and 19 percent of bachelor's degrees award-9 ed in computer sciences. Based on Bureau of Labor 10 Statistics data, jobs in computing occupations are expected to account for nearly 60 percent of the pro-11 12 jected annual growth of newly created STEM job 13 openings from 2016 to 2026.

14 (5) In 2017, underrepresented minority groups 15 comprised 39 percent of the college-age population 16 of the United States, but only 18 percent of stu-17 dents who earned bachelor's degrees in STEM fields. 18 The Higher Education Research Institute at the 19 University of California, Los Angeles, found that, 20 freshmen from underrepresented minority while 21 groups express an interest in pursuing a STEM undergraduate degree at the same rate as all other 22 23 freshmen, only 22.1 percent of Latino students, 18.4 24 percent of African-American students, and 18.8 per-25 cent of Native American students studying in STEM

fields complete their degree within 5 years, com pared to approximately 33 percent of White students
 and 42 percent of Asian students who complete their
 degree within 5 years.

(6) In some STEM fields, including the com-5 6 puter sciences, women persist at about the same rate 7 through doctorate degrees. In other STEM fields, 8 women persist through doctorate degrees at a lower 9 rate. In mathematics, women earn just 26 percent of 10 doctorate degrees compared with 42 percent of un-11 dergraduate degrees. Overall, women earned 38 per-12 cent of STEM doctorate degrees in 2016. The rate 13 of minority students earning STEM doctorate de-14 grees in physics is 9 percent, compared with 15 per-15 cent for bachelor's degree. Students from underrep-16 resented minority groups accounted for only 11.5 17 percent of STEM doctorate degrees awarded in 18 2016.

(7) The representation of women in STEM
drops significantly from the doctorate degree level to
the faculty level. Overall, women hold only 26 percent of all tenured and tenure-track positions and 27
percent of full professor positions in STEM fields in
our Nation's universities and 4-year colleges. Black
and Hispanic faculty together hold about 6.8 percent

1	of all tenured and tenure-track positions and $7.5$
2	percent of full professor positions. Many of the num-
3	bers in the American Indian or Alaskan Native and
4	Native Hawaiian or Other Pacific Islander cat-
5	egories for different faculty ranks were too small for
6	the National Science Foundation to report publicly
7	without potentially compromising confidential infor-
8	mation about the individuals being surveyed.
9	(8) The representation of women is especially
10	low at our Nation's top research universities. Even
11	in the biological sciences, in which women now earn
12	more than 50 percent of the doctorates and passed
13	the 25 percent level 37 years ago, women make up
14	only 25 percent of the full professors at the approxi-
15	mately 100 most research-intensive universities in
16	the United States. In the physical sciences and
17	mathematics, women make up only 11 percent of full
18	professors, in computer sciences only 10 percent,
19	and across engineering fields only 7 percent. The
20	data suggest that approximately 6 percent of all ten-
21	ure-track STEM faculty members at the most re-
22	search-intensive universities are from underrep-
23	resented minority groups, but in some fields the
24	numbers are too small to report publicly.

1 (9) By 2050, underrepresented minorities will 2 comprise 52 percent of the college-age population of 3 the United States. If the percentage of female stu-4 dents and students from underrepresented minority 5 groups earning bachelor's degrees in STEM fields 6 does not significantly increase, the United States 7 will face an acute shortfall in the overall number of 8 students who earn degrees in STEM fields just as 9 United States companies are increasingly seeking 10 students with those skills. With this impending 11 shortfall, the United States will almost certainly lose 12 its competitive edge in the 21st century global econ-13 omy.

14 (10) According to a 2014 Association for 15 Women in Science survey of over 4,000 scientists 16 across the globe, 70 percent of whom were men, 17 STEM researchers face significant challenges in 18 work-life integration. Researchers in the United 19 States were among the most likely to experience a 20 conflict between work and their personal life at least 21 weekly. One-third of researchers surveyed said that 22 ensuring good work-life integration has negatively 23 impacted their careers, and, of researchers intending 24 to leave their current job within the next year, 9 percent indicated it was because they were unable to
 balance work and life demands.

(11) Female students and students from underrepresented minority groups at institutions of higher
education who see few others "like themselves"
among faculty and student populations often do not
experience the social integration that is necessary for
success in all disciplines, including STEM.

9 (12) One in five children in the United States 10 attend school in a rural community. The data shows 11 that rural students are at a disadvantage with re-12 spect to STEM readiness. Among STEM-interested 13 students, 17 percent of students in rural high 14 schools and 18 percent of students in town-located 15 high schools meet the ACT STEM Benchmark, com-16 pared with 33 percent of students in suburban high 17 schools and 27 percent of students in urban high 18 schools.

19 (13) A substantial body of evidence establishes 20 that most people hold implicit biases. Decades of 21 cognitive psychology research reveal that most peo-22 ple carry prejudices of which they are unaware but 23 that nonetheless play a large role in evaluations of 24 people and their work. Unintentional biases and out-25 moded institutional structures are hindering the ac-

1	cess and advancement of women, minorities, and
2	other groups historically underrepresented in STEM.
3	(14) Workshops held to educate faculty about
4	unintentional biases have demonstrated success in
5	raising awareness of such biases.
6	(15) In 2012, the Office of Diversity and Equal
7	Opportunity of the National Aeronautics and Space
8	Administration (in this Act referred to as "NASA")
9	completed a report that—
10	(A) is specifically designed to help NASA
11	grant recipients identify why the dearth of
12	women in STEM fields continues and to ensure
13	that it is not due to discrimination; and
14	(B) provides guidance that is usable by all
15	institutions of higher education receiving sig-
16	nificant Federal research funding on how to
17	conduct meaningful self-evaluations of campus
18	culture and policies.
19	(16) The Federal Government provides 55 per-
20	cent of research funding at institutions of higher
21	education and, through its grant-making policies,
22	has had significant influence on institution of higher
23	education policies, including policies related to insti-
24	tutional culture and structure.

## 1 SEC. 2. PURPOSES.

2 The purposes of this Act are as follows:

3 (1) To ensure that Federal science agencies and
4 institutions of higher education receiving Federal re5 search and development funding are fully engaging
6 the entire talent pool of the United States.

7 (2) To promote research on, and increase un-8 derstanding of, the participation and trajectories of 9 women, minorities, and other groups historically 10 underrepresented in STEM studies and careers, in-11 cluding persons with disabilities, older learners, vet-12 erans, and rural, poor, and tribal populations, at in-13 stitutions of higher education and Federal science 14 agencies, including Federal laboratories.

15 (3) To raise awareness within Federal science 16 agencies, including Federal laboratories, and institu-17 tions of higher education about cultural and institu-18 tional barriers limiting the recruitment, retention, 19 promotion, and other indicators of participation and 20 achievement of women, minorities, and other groups 21 historically underrepresented in academic and Gov-22 ernment STEM research careers at all levels.

(4) To identify, disseminate, and implement
best practices at Federal science agencies, including
Federal laboratories, and at institutions of higher
education to remove or reduce cultural and institu-

1 tional barriers limiting the recruitment, retention, 2 and success of women, minorities, and other groups 3 historically underrepresented in academic and Gov-4 ernment STEM research careers. 5 (5) To provide grants to institutions of higher 6 education to recruit, retain, and advance STEM fac-7 ultv members from underrepresented minority 8 groups and to implement or expand reforms in un-9 dergraduate STEM education in order to increase 10 the number of students from underrepresented mi-11 nority groups receiving degrees in these fields. 12 SEC. 3. FEDERAL SCIENCE AGENCY POLICIES FOR CARE-13 GIVERS. 14 (a) OSTP GUIDANCE.—Not later than 6 months 15 after the date of enactment of this Act, the Director, in

16 consultation with relevant agencies, shall provide guidance17 to each Federal science agency to establish policies that—

- 18 (1) apply to all—
- 19 (A) research awards granted by such agen-20 cy; and

(B) principal investigators of such research
who have caregiving responsibilities, including
care for a newborn or newly adopted child and
care for an immediate family member who is
sick or disabled; and

1 (2) provide—

T	(2) provide
2	(A) flexibility in timing for the initiation of
3	approved research awards granted by such
4	agency;
5	(B) no-cost extensions of such research
6	awards;
7	(C) grant supplements, as appropriate, to
8	research awards for research technicians or
9	equivalent positions to sustain research activi-
10	ties conducted under such awards; and
11	(D) any other appropriate accommodations
12	at the discretion of the director of each such
13	agency.
14	(b) UNIFORMITY OF GUIDANCE.—In providing guid-
15	ance under subsection (a), the Director shall encourage
16	uniformity and consistency in the policies established pur-
17	suant to such guidance across all Federal science agencies.
18	(c) ESTABLISHMENT OF POLICIES.—Consistent with
19	the guidance under subsection (a), Federal science agen-
20	cies shall—
21	(1) maintain or develop and implement policies
22	for individuals described in paragraph $(1)(B)$ of
23	such subsection; and

24 (2) broadly disseminate such policies to current25 and potential grantees.

1 (d) DATA ON USAGE.—Federal science agencies 2 shall—

3 (1) collect data on the usage of the policies 4 under subsection (c), by gender, at both institutions 5 of higher education and Federal laboratories; and 6 (2) report such data on an annual basis to the 7 Director in such form as required by the Director. 8 SEC. 4. COLLECTION AND REPORTING OF DATA ON FED-9 ERAL RESEARCH GRANTS. 10 (a) COLLECTION OF DATA.— 11 (1) IN GENERAL.—Each Federal science agency 12 shall collect, as practicable, with respect to all appli-13 cations for merit-reviewed research and development 14 grants to institutions of higher education and Fed-15 eral laboratories supported by that agency, the 16 standardized record-level annual information on de-17 mographics, primary field, award type, institution 18 type, review rating, budget request, funding out-19 come, and awarded budget. 20 (2) UNIFORMITY AND STANDARDIZATION.—The

Director, in consultation with the Director of the
National Science Foundation, shall establish a policy
to ensure uniformity and standardization of the data
collection required under paragraph (1).

25 (3) Record-level data.—

1(A) REQUIREMENT.—Beginning not later2than 2 years after the date of the enactment of3this Act, and on an annual basis thereafter,4each Federal science agency shall submit to the5Director of the National Science Foundation6record-level data collected under paragraph (1)7in the form required by such Director.

8 (B) PREVIOUS DATA.—As part of the first 9 submission under subparagraph (A), each Fed-10 eral science agency, to the extent practicable, 11 shall also submit comparable record-level data 12 for the 5 years preceding the date of such sub-13 mission.

14 (b) REPORTING OF DATA.—The Director of the Na-15 tional Science Foundation shall publish statistical summary data, as practicable, collected under this section, 16 17 disaggregated and cross-tabulated by race, ethnicity, gender, and years since completion of doctoral degree, includ-18 19 ing in conjunction with the National Science Foundation's 20 report required by section 37 of the Science and Tech-21 nology Equal Opportunities Act (42 U.S.C. 1885d; Public 22 Law 96–516).

3 (a) IN GENERAL.—Each Federal science agency shall
4 implement the policy recommendations with respect to re5 ducing the impact of implicit bias at Federal science agen6 cies and grantee institutions as developed by the Office
7 of Science and Technology Policy in the 2016 report enti8 tled "Reducing the Impact of Bias in the STEM Work9 force" and any subsequent updates.

(b) PILOT ACTIVITY.—In consultation with the Na-10 11 tional Science Foundation and consistent with policy rec-12 ommendations referenced in subsection (a), each Federal 13 science agency shall implement a 2-year pilot orientation activity for program officers and members of standing re-14 view committees to educate reviewers on research related 15 to, and minimize the effects of, implicit bias in the review 16 of extramural and intramural Federal research grants. 17

(c) ESTABLISHMENT OF POLICIES.—Drawing upon
lessons learned from the pilot activity under subsection
(b), each Federal science agency shall maintain or develop
and implement evidence-based policies and practices to
minimize the effects of implicit bias in the review of extramural and intramural Federal research grants.

24 (d) ASSESSMENT OF POLICIES.—Federal science
25 agencies shall regularly assess, and amend as necessary,
26 the policies and practices implemented pursuant to sub•HR 2528 EH

section (c) to ensure effective measures are in place to
 minimize the effects of implicit bias in the review of extra mural and intramural Federal research grants.

# 4 SEC. 6. COLLECTION OF DATA ON DEMOGRAPHICS OF FAC-

ULTY.

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6 (a) Collection of Data.—

7 (1) IN GENERAL.—Not later than 3 years after
8 the date of enactment of this Act, and at least every
9 5 years thereafter, the Director of the National
10 Science Foundation shall carry out a survey to col11 lect data from grantees on the demographics of
12 STEM faculty, by broad fields of STEM, at dif13 ferent types of institutions of higher education.

14 (2) CONSIDERATIONS.—To the extent prac15 ticable, the Director of the National Science Foun16 dation shall consider, by gender, race, ethnicity, citi17 zenship status, and years since completion of doc18 toral degree—

19 (A) the number and percentage of faculty;
20 (B) the number and percentage of faculty
21 at each rank;

(C) the number and percentage of faculty
who are in nontenure-track positions, including
teaching and research;

1	(D) the number and percentage of faculty
2	who are reviewed for promotion, including ten-
3	ure, and the percentage of that number who are
4	promoted, including being awarded tenure;
5	(E) faculty years in rank;
6	(F) the number and percentage of faculty
7	to leave tenure-track positions;
8	(G) the number and percentage of faculty
9	hired, by rank; and
10	(H) the number and percentage of faculty
11	in leadership positions.
12	(b) EXISTING SURVEYS.—The Director of the Na-
13	tional Science Foundation, may, in modifying or expand-
14	ing existing Federal surveys of higher education (as nec-
15	essary)—
16	(1) take into account the considerations under
17	subsection $(a)(2)$ by collaborating with statistical
18	centers at other Federal agencies; or
19	(2) award a grant or contract to an institution
20	of higher education or other nonprofit organization
21	to take such considerations into account.
22	(c) REPORTING DATA.—The Director of the National
23	Science Foundation shall publish statistical summary data
24	collected under this section, including as part of the Na-
25	tional Science Foundation's report required by section 37

of the Science and Technology Equal Opportunities Act
 (42 U.S.C. 1885d; Public Law 96–516).

3 (d) AUTHORIZATION OF APPROPRIATIONS.—There
4 are authorized to be appropriated to the Director of the
5 National Science Foundation \$3,000,000 in each of fiscal
6 years 2020 through 2022 to develop and carry out the
7 initial survey required under subsection (a).

# 8 SEC. 7. CULTURAL AND INSTITUTIONAL BARRIERS TO EX9 PANDING THE ACADEMIC AND FEDERAL 10 STEM WORKFORCE.

11 (a) BEST PRACTICES AT INSTITUTIONS OF HIGHER
12 EDUCATION AND FEDERAL LABORATORIES.—

(1) DEVELOPMENT OF GUIDANCE.—Not later
than 12 months after the date of enactment of this
Act, the Director, in consultation with the interagency working group on inclusion in STEM, shall
develop written guidance for institutions of higher
education and Federal laboratories on the best practices for—

20 (A) conducting periodic climate surveys of
21 STEM departments and divisions, with a par22 ticular focus on identifying any cultural or in23 stitutional barriers to the recruitment, reten24 tion, or advancement of women, racial and eth25 nic minorities, and other groups historically

underrepresented in STEM studies and careers; and

(B) providing educational opportunities, including workshops as described in subsection
(b), for STEM faculty, research personnel, and
administrators to learn about current research
on implicit bias in recruitment, evaluation, and
promotion of undergraduate and graduate students and research personnel.

10 (2) EXISTING GUIDANCE.—In developing the
11 guidance under paragraph (1), the Director shall
12 utilize guidance already developed by Federal science
13 agencies.

14 (3) DISSEMINATION OF GUIDANCE.—Federal
15 science agencies shall broadly disseminate the guid16 ance developed under paragraph (1) to institutions
17 of higher education that receive Federal research
18 funding and Federal laboratories.

(4) ESTABLISHMENT OF POLICIES.—Consistent
with the guidance developed under paragraph (1)—
(A) the Director of the National Science
Foundation shall develop a policy that—
(i) applies to, at a minimum, doctoral
degree granting institutions that receive

Federal research funding; and

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1(ii) requires each such institution, not2later than 3 years after the date of enact-3ment of this Act, to report to the Director4of the National Science Foundation on ac-5tivities and policies developed and imple-6mented based on the guidance developed7under paragraph (1); and

8 (B) each Federal science agency with a 9 Federal laboratory shall maintain or develop 10 and implement practices and policies for the 11 purposes described in paragraph (1) for such 12 laboratory.

13 (b) Workshops To Address Cultural Barriers
14 TO EXPANDING THE ACADEMIC AND FEDERAL STEM
15 Workforce.—

16 (1) IN GENERAL.—Not later than 6 months 17 after the date of enactment of this Act, the Director, 18 in consultation with the interagency working group 19 on inclusion in STEM, shall recommend a uniform 20 policy for Federal science agencies to carry out a 21 program of workshops that educate STEM depart-22 ment chairs at institutions of higher education, sen-23 ior managers at Federal laboratories, and other fed-24 erally funded researchers about methods that mini-25 mize the effects of implicit bias in the career advancement, including hiring, tenure, promotion, and
 selection for any honor based in part on the recipi ent's research record, of academic and Federal
 STEM researchers.

5 (2) INTERAGENCY COORDINATION.—The Direc6 tor shall, to the extent practicable, ensure that work7 shops supported under this subsection are coordi8 nated across Federal science agencies and jointly
9 supported as appropriate.

10 (3) MINIMIZING COSTS.—To the extent prac-11 ticable, workshops shall be held in conjunction with 12 national or regional STEM disciplinary meetings to 13 minimize costs associated with participant travel.

14 (4) PRIORITY FIELDS FOR ACADEMIC PARTICI-15 PANTS.—In considering the participation of STEM 16 department chairs and other academic researchers, 17 the Director shall prioritize workshops for the broad 18 fields of STEM in which the national rate of rep-19 resentation of women among tenured or tenure-track 20 faculty or nonfaculty researchers at doctorate-grant-21 ing institutions of higher education is less than 25 22 percent, according to the most recent data available 23 from the National Center for Science and Engineer-24 ing Statistics.

1	(5) Organizations eligible to carry out
2	WORKSHOPS.—A Federal science agency may carry
3	out the program of workshops under this subsection
4	by making grants to organizations made eligible by
5	the Federal science agency and any of the following
6	organizations:
7	(A) Nonprofit scientific and professional
8	societies and organizations that represent one
9	or more STEM disciplines.
10	(B) Nonprofit organizations that have the
11	primary mission of advancing the participation
12	of women, minorities, or other groups histori-
13	cally underrepresented in STEM.
14	(6) CHARACTERISTICS OF WORKSHOPS.—The
15	workshops shall have the following characteristics:
16	(A) Invitees to workshops shall include at
17	least—
18	(i) the chairs of departments in the
19	relevant STEM discipline or disciplines
20	from doctoral degree granting institutions
21	that receive Federal research funding; and
22	(ii) in the case of Federal laboratories,
23	individuals with personnel management re-
24	sponsibilities comparable to those of an in-

stitution of higher education department chair.

(B) Activities at the workshops shall in-3 4 clude research presentations and interactive discussions or other activities that increase the 5 6 awareness of the existence of implicit bias in recruitment, hiring, tenure review, promotion, and 7 8 other forms of formal recognition of individual 9 achievement for faculty and other federally 10 funded STEM researchers and shall provide 11 strategies to overcome such bias.

12 Research presentations and other (C) 13 workshop programs, as appropriate, shall in-14 clude a discussion of the unique challenges 15 faced by different underrepresented groups, in-16 cluding minority women, minority men, persons 17 from rural and underserved areas, persons with 18 disabilities, gender and sexual minority individ-19 uals, and first generation graduates in research.

20 (D) Workshop programs shall include in21 formation on best practices for mentoring un22 dergraduate, graduate, and postdoctoral
23 women, minorities, and other students from
24 groups historically underrepresented in STEM.

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1	(7) DATA ON WORKSHOPS.—Any proposal for
2	funding by an organization seeking to carry out a
3	workshop under this subsection shall include a de-
4	scription of how such organization will—
5	(A) collect data on the rates of attendance
6	by invitees in workshops, including information
7	on the home institution and department of
8	attendees, and the rank of faculty attendees;
9	(B) conduct attitudinal surveys on work-
10	shop attendees before and after the workshops;
11	and
12	(C) collect follow-up data on any relevant
13	institutional policy or practice changes reported
14	by attendees not later than 1 year after attend-
15	ance in such a workshop.
16	(8) Report to NSF.—Organizations receiving
17	funding to carry out workshops under this sub-
18	section shall report the data required in paragraph
19	(7) to the Director of the National Science Founda-
20	tion in such form as required by such Director.
21	(c) Report to Congress.—Not later than 4 years
22	after the date of enactment of this Act, the Director of
23	the National Science Foundation shall submit a report to
24	Congress that includes—

(1) a summary and analysis of the types and
 frequency of activities and policies developed and
 carried out under subsection (a) based on the re ports submitted under paragraph (4) of such sub section; and

6 (2) a description and evaluation of the status 7 and effectiveness of the program of workshops re-8 quired under subsection (b), including a summary of 9 any data reported under paragraph (8) of such sub-10 section.

(d) AUTHORIZATION OF APPROPRIATIONS.—There
are authorized to be appropriated to the Director of the
National Science Foundation \$1,000,000 in each of fiscal
years 2020 through 2024 to carry out this section.

# 15 SEC. 8. RESEARCH AND DISSEMINATION AT THE NATIONAL 16 SCIENCE FOUNDATION.

17 (a) IN GENERAL.—The Director of the National
18 Science Foundation shall award research grants and carry
19 out dissemination activities consistent with the purposes
20 of this Act, including—

(1) research grants to analyze the record-level
data collected under section 4 and section 6, consistent with policies to ensure the privacy of individuals identifiable by such data;

(2) research grants to study best practices for 1 2 work-life accommodation; 3 (3) research grants to study the impact of poli-4 cies and practices that are implemented under this Act or that are otherwise consistent with the pur-5 6 poses of this Act; 7 (4) collaboration with other Federal science 8 agencies and professional associations to exchange 9 best practices, harmonize work-life accommodation 10 policies and practices, and overcome common bar-11 riers to work-life accommodation; and 12 (5) collaboration with institutions of higher 13 education in order to clarify and catalyze the adop-14 tion of a coherent and consistent set of work-life ac-15 commodation policies and practices. 16 (b) AUTHORIZATION OF APPROPRIATIONS.—There are authorized to be appropriated to the Director of the 17 18 National Science Foundation \$5,000,000 in each of fiscal years 2020 through 2024 to carry out this section. 19 20 SEC. 9. RESEARCH AND RELATED ACTIVITIES TO EXPAND 21 STEM OPPORTUNITIES. 22 (a) NATIONAL SCIENCE FOUNDATION SUPPORT FOR 23 INCREASING DIVERSITY AMONG STEM FACULTY AT IN-STITUTIONS OF HIGHER EDUCATION.—Section 305 of the 24

American Innovation and Competitiveness Act (42 U.S.C.
 1862s-5) is amended—

3 (1) by redesignating subsections (e) and (f) as
4 subsections (g) and (h), respectively; and

5 (2) by inserting after subsection (d) the fol-6 lowing:

7 "(e) SUPPORT FOR INCREASING DIVERSITY AMONG
8 STEM FACULTY AT INSTITUTIONS OF HIGHER EDU9 CATION.—

"(1) IN GENERAL.—The Director of the Foundation shall award grants to institutions of higher
education (or consortia thereof) for the development
and assessment of innovative reform efforts designed
to increase the recruitment, retention, and advancement of individuals from underrepresented minority
groups in academic STEM careers.

17 "(2) MERIT REVIEW; COMPETITION.—Grants
18 shall be awarded under this subsection on a merit19 reviewed, competitive basis.

20 "(3) USE OF FUNDS.—Activities supported by
21 grants under this subsection may include—

"(A) institutional assessment activities,
such as data analyses and policy review, in
order to identify and address specific issues in
the recruitment, retention, and advancement of

faculty members from underrepresented minority groups;

3 "(B) implementation of institution-wide 4 improvements in workload distribution, such 5 that faculty members from underrepresented 6 minority groups are not disadvantaged in the 7 amount of time available to focus on research. 8 publishing papers, and engaging in other activi-9 ties required to achieve tenure status and run 10 a productive research program;

"(C) development and implementation of
training courses for administrators and search
committee members to ensure that candidates
from underrepresented minority groups are not
subject to implicit biases in the search and hiring process;

17 "(D) development and hosting of intra- or
18 inter-institutional workshops to propagate best
19 practices in recruiting, retaining, and advancing
20 faculty members from underrepresented minor21 ity groups;

22 "(E) professional development opportuni23 ties for faculty members from underrepresented
24 minority groups;

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"(F) activities aimed at making under-1 2 STEM students from graduate underrepresented minority groups aware of opportunities 3 for academic careers in STEM fields; 4 "(G) activities to identify and engage ex-5 6 ceptional graduate students and postdoctoral 7 researchers from underrepresented minority 8 groups at various stages of their studies and to 9 encourage them to enter academic careers; and 10 "(H) other activities consistent with para-11 graph (1), as determined by the Director of the 12 Foundation. 13 "(4) Selection process.— 14 "(A) APPLICATION.—An institution of 15 higher education (or a consortium of such institutions) seeking funding under this subsection 16 17 shall submit an application to the Director of 18 the Foundation at such time, in such manner, 19 and containing such information and assur-20 ances as such Director may require. The appli-21 cation shall include, at a minimum, a descrip-22 tion of— "(i) the reform effort that is being 23 24 proposed for implementation by the insti-

tution of higher education;

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1	"(ii) any available evidence of specific
2	difficulties in the recruitment, retention,
3	and advancement of faculty members from
4	underrepresented minority groups in
5	STEM academic careers within the institu-
6	tion of higher education submitting an ap-
7	plication, and how the proposed reform ef-
8	fort would address such issues;
9	"(iii) how the institution of higher
10	education submitting an application plans
11	to sustain the proposed reform effort be-
12	yond the duration of the grant; and
13	"(iv) how the success and effective-
14	ness of the proposed reform effort will be
15	evaluated and assessed in order to con-
16	tribute to the national knowledge base
17	about models for catalyzing institutional
18	change.
19	"(B) REVIEW OF APPLICATIONS.—In se-
20	lecting grant recipients under this subsection,
21	the Director of the Foundation shall consider,
22	at a minimum—
23	"(i) the likelihood of success in under-
24	taking the proposed reform effort at the
25	institution of higher education submitting

1 the application, including the extent to 2 which the administrators of the institution 3 are committed to making the proposed re-4 form effort a priority; "(ii) the degree to which the proposed 5 6 reform effort will contribute to change in institutional culture and policy such that 7 8 greater value is placed on the recruitment, 9 retention, and advancement of faculty 10 members from underrepresented minority 11 groups; 12 "(iii) the likelihood that the institu-13 tion of higher education will sustain or ex-14 pand the proposed reform effort beyond 15 the period of the grant; and "(iv) the degree to which evaluation 16 17 and assessment plans are included in the 18 design of the proposed reform effort. 19 "(C) GRANT DISTRIBUTION.—The Director 20 of the Foundation shall ensure, to the extent 21 practicable, that grants awarded under this sec-22 tion are made to a variety of types of institu-23 tions of higher education. "(5) Authorization of appropriations.— 24 25 There are authorized to be appropriated to carry out

this subsection \$8,000,000 for each of fiscal years
 2020 through 2024.".

3 (b) NATIONAL SCIENCE FOUNDATION SUPPORT FOR
4 BROADENING PARTICIPATION IN UNDERGRADUATE
5 STEM EDUCATION.—Section 305 of the American Inno6 vation and Competitiveness Act (42 U.S.C. 1862s-5), as
7 amended by subsection (b), is further amended by insert8 ing after subsection (e) the following:

9 "(f) SUPPORT FOR BROADENING PARTICIPATION IN
10 UNDERGRADUATE STEM EDUCATION.—

11 "(1) IN GENERAL.—The Director of the Foun-12 dation shall award grants to institutions of higher 13 education (or a consortium of such institutions) to 14 implement or expand research-based reforms in un-15 dergraduate STEM education for the purpose of re-16 cruiting and retaining students from minority 17 groups who are underrepresented in STEM fields.

18 "(2) MERIT REVIEW; COMPETITION.—Grants
19 shall be awarded under this subsection on a merit20 reviewed, competitive basis.

21 "(3) USE OF FUNDS.—Activities supported by
22 grants under this subsection may include—

23 "(A) implementation or expansion of inno24 vative, research-based approaches to broaden

participation of underrepresented minority groups in STEM fields;

"(B) implementation or expansion of bridge, cohort, tutoring, or mentoring programs, including those involving community colleges and technical schools, designed to enhance the recruitment and retention of students from underrepresented minority groups in STEM fields;

"(C) implementation or expansion of outreach programs linking institutions of higher
education and K-12 school systems in order to
heighten awareness among pre-college students
from underrepresented minority groups of opportunities in college-level STEM fields and
STEM careers;

17 "(D) implementation or expansion of fac18 ulty development programs focused on improv19 ing retention of undergraduate STEM students
20 from underrepresented minority groups;

21 "(E) implementation or expansion of
22 mechanisms designed to recognize and reward
23 faculty members who demonstrate a commit24 ment to increasing the participation of students

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from underrepresented minority groups in STEM fields;

3 "(F) expansion of successful reforms 4 aimed at increasing the number of STEM stu-5 dents from underrepresented minority groups beyond a single course or group of courses to 6 7 achieve reform within an entire academic unit, 8 or expansion of successful reform efforts beyond 9 a single academic unit or field to other STEM 10 academic units or fields within an institution of 11 higher education;

"(G) expansion of opportunities for students from underrepresented minority groups to
conduct STEM research in industry, at Federal
labs, and at international research institutions
or research sites;

17 "(H) provision of stipends for students
18 from underrepresented minority groups partici19 pating in research;

20 "(I) development of research collaborations
21 between research-intensive universities and pri22 marily undergraduate minority-serving institu23 tions;

24 "(J) support for graduate students and25 postdoctoral fellows from underrepresented mi-

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1	nority groups to participate in instructional or
2	assessment activities at primarily under-
3	graduate institutions, including primarily un-
4	dergraduate minority-serving institutions and 2-
5	year institutions of higher education; and
6	"(K) other activities consistent with para-
7	graph (1), as determined by the Director of the
8	Foundation.
9	"(4) Selection process.—
10	"(A) Application.—An institution of
11	higher education (or a consortia thereof) seek-
12	ing a grant under this subsection shall submit
13	an application to the Director of the Founda-
14	tion at such time, in such manner, and con-
15	taining such information and assurances as
16	such Director may require. The application
17	shall include, at a minimum—
18	"(i) a description of the proposed re-
19	form effort;
20	"(ii) a description of the research
21	findings that will serve as the basis for the
22	proposed reform effort or, in the case of
23	applications that propose an expansion of a
24	previously implemented reform, a descrip-
25	tion of the previously implemented reform

effort, including data about the recruit-
ment, retention, and academic achievement
of students from underrepresented minor-
ity groups;
"(iii) evidence of an institutional com-
mitment to, and support for, the proposed
reform effort, including a long-term com-
mitment to implement successful strategies
from the current reform beyond the aca-
demic unit or units included in the grant
proposal;
"(iv) a description of existing or
planned institutional policies and practices
regarding faculty hiring, promotion, ten-
ure, and teaching assignment that reward
faculty contributions to improving the edu-
cation of students from underrepresented
minority groups in STEM; and
"(v) how the success and effectiveness
of the proposed reform effort will be evalu-
ated and assessed in order to contribute to
the national knowledge base about models
for catalyzing institutional change.
"(B) REVIEW OF APPLICATIONS.—In se-
lecting grant recipients under this subsection,

the Director of the Foundation shall consider, at a minimum—

"(i) the likelihood of success of the 3 4 proposed reform effort at the institution 5 submitting the application, including the 6 extent to which the faculty, staff, and ad-7 ministrators of the institution are committed to making the proposed institu-8 9 tional reform a priority of the participating 10 academic unit or units;

"(ii) the degree to which the proposed
reform effort will contribute to change in
institutional culture and policy such that
greater value is placed on faculty engagement in the retention of students from
underrepresented minority groups;

17 "(iii) the likelihood that the institu18 tion will sustain or expand the proposed
19 reform effort beyond the period of the
20 grant; and

21 "(iv) the degree to which evaluation
22 and assessment plans are included in the
23 design of the proposed reform effort.

24 "(C) GRANT DISTRIBUTION.—The Director25 of the Foundation shall ensure, to the extent

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practicable, that grants awarded under this subsection are made to a variety of types of institutions of higher education, including 2-year and minority-serving institutions of higher education.

6 "(5) EDUCATION RESEARCH.—

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7 "(A) IN GENERAL.—All grants made under this subsection shall include an education re-8 9 search component that will support the design 10 and implementation of a system for data collec-11 tion and evaluation of proposed reform efforts 12 in order to build the knowledge base on prom-13 ising models for increasing recruitment and re-14 tention of students from underrepresented mi-15 nority groups in STEM education at the under-16 graduate level across a diverse set of institu-17 tions.

18 "(B) DISSEMINATION.—The Director of 19 the Foundation shall coordinate with relevant 20 Federal agencies in disseminating the results of the research under this paragraph to ensure 21 22 that best practices in broadening participation 23 in STEM education at the undergraduate level 24 are made readily available to all institutions of 25 higher education, other Federal agencies that 1

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support STEM programs, non-Federal funders of STEM education, and the general public.

3 "(6) AUTHORIZATION OF APPROPRIATIONS.—
4 There are authorized to be appropriated to carry out
5 this subsection \$15,000,000 for each of fiscal years
6 2020 through 2024.".

7 SEC. 10. TRIBAL COLLEGES AND UNIVERSITIES PROGRAM.

8 (a) GRANTS TO BROADEN TRIBAL COLLEGE AND 9 UNIVERSITY STUDENT PARTICIPATION IN COMPUTER SCIENCE.—Section 525 of the America COMPETES Re-10 11 authorization Act of 2010 (42 U.S.C. 1862p–13) is 12 amended by inserting after subsection (c) the following: 13 "(d) GRANTS TO BROADEN TRIBAL COLLEGE AND UNIVERSITY STUDENT PARTICIPATION IN COMPUTER 14 15 SCIENCE.—

"(1) IN GENERAL.—The Director, as part of 16 17 the program authorized under this section, shall 18 award grants on a competitive, merit-reviewed basis 19 to eligible entities to increase the participation of 20 tribal populations in computer science and computa-21 tional thinking education programs to enable stu-22 dents to develop skills and competencies in coding, 23 problem-solving, critical thinking, creativity and collaboration. 24

1	"(2) PURPOSE.—Grants awarded under this
2	subsection shall support—
3	"(A) research and development needed to
4	bring computer science and computational
5	thinking courses and degrees to tribal colleges
6	and universities;
7	"(B) research and development of instruc-
8	tional materials needed to integrate computer
9	science and computational thinking into pro-
10	grams that are culturally relevant to students
11	attending tribal colleges and universities;
12	"(C) research, development and evaluation
13	of distance education for computer science and
14	computational thinking courses and degree pro-
15	grams for students attending tribal colleges and
16	universities; and
17	"(D) other activities consistent with the
18	activities described in paragraphs (1) through
19	(4) of subsection (b), as determined by the Di-
20	rector.
21	"(3) PARTNERSHIPS.—A tribal college or uni-
22	versity seeking a grant under this subsection, or a
23	consortia thereof, may partner with an institution of
24	higher education or nonprofit organization with dem-

onstrated expertise in academic program develop ment.

3 "(4) COORDINATION.—In carrying out this sub-4 section, the Director shall consult and cooperate 5 with the programs and policies of other relevant 6 Federal agencies to avoid duplication with and en-7 hance the effectiveness of the program under this 8 subsection.

9 "(5) AUTHORIZATION OF APPROPRIATIONS.— 10 There are authorized to be appropriated to the Di-11 rector of the Foundation \$2,000,000 in each of fis-12 cal years 2020 through 2024 to carry out this sub-13 section.".

14 (b) EVALUATION.—

(1) IN GENERAL.—Not later than 2 years after
the date of enactment of this Act, the Director of
the National Science Foundation shall evaluate the
grant program authorized under section 525 of the
America COMPETES Reauthorization Act of 2010
(42 U.S.C. 1862p–13), as amended.

(2) REQUIREMENTS.—In conducting the evaluation under paragraph (1), the Director of the National Science Foundation shall, as practicable—

24 (A) use a common set of benchmarks and25 assessment tools to identify best practices and

1	materials developed on demonstrated by the ne
1	materials developed or demonstrated by the re-
2	search conducted pursuant to grants programs
3	under section 525 of the America COMPETES
4	Reauthorization Act of 2010 (42 U.S.C.
5	1862p–13);
6	(B) include an assessment of the effective-
7	ness of such grant programs in expanding ac-
8	cess to high quality STEM education, research,
9	and outreach at tribal colleges and universities,
10	as applicable;
11	(C) assess the number of students who
12	participated in such grant programs; and
13	(D) assess the percentage of students par-
14	ticipating in such grant programs who success-
15	fully complete their education programs.
16	(3) Report.—Not later than 180 days after
17	the date on which the evaluation under paragraph
18	(1) is completed, the Director of the National
19	Science Foundation shall submit to Congress and
20	make available to the public, a report on the results
21	of the evaluation, including any recommendations for
22	legislative action that could optimize the effective-
23	ness of the grant program authorized under section
24	525 of the America COMPETES Reauthorization
25	Act of 2010, as amended by subsection (a).

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## 1 SEC. 11. REPORT TO CONGRESS.

2 Not later than 4 years after the date of enactment
3 of this Act, the Director shall submit a report to Congress
4 that includes—

5 (1) a description and evaluation of the status
6 and usage of policies implemented pursuant to sec7 tion 3 at all Federal science agencies, including any
8 recommendations for revising or expanding such
9 policies;

10 (2) with respect to efforts to minimize the ef11 fects of implicit bias in the review of extramural and
12 intramural Federal research grants under section
13 5—

14 (A) what steps all Federal science agencies
15 have taken to implement policies and practices
16 to minimize such effects;

17 (B) a description of any significant up18 dates to the policies for review of Federal re19 search grants required under such section; and

20 (C) any evidence of the impact of such
21 policies on the review or awarding of Federal
22 research grants; and

(3) a description and evaluation of the status of
institution of higher education and Federal laboratory policies and practices required under section

7(a), including any recommendations for revising or
 expanding such policies.

### 3 SEC. 12. MERIT REVIEW.

4 Nothing in this Act shall be construed as altering any
5 intellectual or broader impacts criteria at Federal science
6 agencies for evaluating grant applications.

# 7 SEC. 13. DEFINITIONS.

8 In this Act:

9 (1) DIRECTOR.—The term "Director" means
10 the Director of the Office of Science and Technology
11 Policy.

(2) FEDERAL LABORATORY.—The term "Federal laboratory" has the meaning given such term in
section 4 of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3703).

16 (3) FEDERAL SCIENCE AGENCY.—The term
17 "Federal science agency" means any Federal agency
18 with at least \$100,000,000 in research and develop19 ment expenditures in fiscal year 2018.

(4) INSTITUTION OF HIGHER EDUCATION.—The
term "institution of higher education" has the
meaning given such term in section 101(a) of the
Higher Education Act of 1965 (20 U.S.C. 1001(a)).

24 (5) INTERAGENCY WORKING GROUP ON INCLU25 SION IN STEM.—The term "interagency working

group on inclusion in STEM" means the interagency
 working group established by section 308 of the
 American Innovation and Competitiveness Act (42
 U.S.C. 6626).

5 (6) STEM.—The term "STEM" means science,
6 technology, engineering, and mathematics, including
7 computer science.

Passed the House of Representatives September 26, 2019.

Attest:

Clerk.

# 116TH CONGRESS H. R. 2528

# AN ACT

To direct the Director of the Office of Science and Technology Policy to carry out programs and activities to ensure that Federal science agencies and institutions of higher education receiving Federal research and development funding are fully engaging their entire talent pool, and for other purposes.