

1 AN ACT relating to audiology and speech-language pathology.

2 *Be it enacted by the General Assembly of the Commonwealth of Kentucky:*

3 ➔SECTION 1. A NEW SECTION OF KRS 334A.010 TO 334A.990 IS  
4 CREATED TO READ AS FOLLOWS:

5 **SECTION 1: PURPOSE**

6 *The purpose of this Compact is to facilitate interstate practice of audiology and speech-*  
7 *language pathology with the goal of improving public access to audiology and speech-*  
8 *language pathology services. The practice of audiology and speech-language pathology*  
9 *occurs in the state where the patient/client/student is located at the time of the*  
10 *patient/client/student encounter. The Compact preserves the regulatory authority of*  
11 *states to protect public health and safety through the current system of state licensure.*

12 *This Compact is designed to achieve the following objectives:*

- 13 *1. Increase public access to audiology and speech-language pathology services*  
14 *by providing for the mutual recognition of other member state licenses;*
- 15 *2. Enhance the states' ability to protect the public's health and safety;*
- 16 *3. Encourage the cooperation of member states in regulating multistate*  
17 *audiology and speech-language pathology practice;*
- 18 *4. Support spouses of relocating active duty military personnel;*
- 19 *5. Enhance the exchange of licensure, investigative and disciplinary*  
20 *information between member states;*
- 21 *6. Allow a remote state to hold a provider of services with a compact privilege*  
22 *in that state accountable to that state's practice standards; and*
- 23 *7. Allow for the use of telehealth technology to facilitate increased access to*  
24 *audiology and speech-language pathology services.*

25 **SECTION 2: DEFINITIONS**

26 *As used in this Compact, and except as otherwise provided, the following definitions*  
27 *shall apply:*

- 1     A. "Active duty military" means full-time duty status in the active uniformed  
2     service of the United States, including members of the National Guard and  
3     Reserve on active duty orders pursuant to 10 U.S.C. Section 1209 and 1211;
- 4     B. "Adverse action" means any administrative, civil, equitable or criminal  
5     action permitted by a state's laws which is imposed by a licensing board or  
6     other authority against an audiologist or speech-language pathologist,  
7     including actions against an individual's license or privilege to practice  
8     such as revocation, suspension, probation, monitoring of the licensee, or  
9     restriction on the licensee's practice;
- 10    C. "Alternative program" means a non-disciplinary monitoring process  
11    approved by an audiology or speech-language pathology licensing board to  
12    address impaired practitioners;
- 13    D. "Audiologist" means an individual who is licensed by a state to practice  
14    audiology;
- 15    E. "Audiology" means the care and services provided by a licensed audiologist  
16    as set forth in the member state's statutes and rules;
- 17    F. "Audiology and Speech-Language Pathology Compact Commission" or  
18    "Commission" means the national administrative body whose membership  
19    consists of all states that have enacted the Compact;
- 20    G. "Audiology and speech-language pathology licensing board," "audiology  
21    licensing board," "speech-language pathology licensing board," or  
22    "licensing board" means the agency of a state that is responsible for the  
23    licensing and regulation of audiologists and/or speech-language  
24    pathologists;
- 25    H. "Compact privilege" means the authorization granted by a remote state to  
26    allow a licensee from another member state to practice as an audiologist or  
27    speech-language pathologist in the remote state under its laws and rules;

1       The practice of audiology or speech-language pathology occurs in the  
2       member state where the patient/client/student is located at the time of the  
3       patient/client/student encounter;

4       I. "Current significant investigative information" means investigative  
5       information that a licensing board, after an inquiry or investigation that  
6       includes notification and an opportunity for the audiologist or speech-  
7       language pathologist to respond, if required by state law, has reason to  
8       believe is not groundless and, if proved true, would indicate more than a  
9       minor infraction;

10      J. "Data system" means a repository of information about licensees,  
11      including, but not limited to, continuing education, examination, licensure,  
12      investigative, compact privilege and adverse action;

13      K. "Encumbered license" means a license in which an adverse action restricts  
14      the practice of audiology or speech-language pathology by the licensee and  
15      said adverse action has been reported to the National Practitioners Data  
16      Bank (NPDB);

17      L. "Executive committee" means a group of directors elected or appointed to  
18      act on behalf of, and within the powers granted to them by, the  
19      Commission;

20      M. "Home state" means the member state that is the licensee's primary state of  
21      residence;

22      N. "Impaired practitioner" means individuals whose professional practice is  
23      adversely affected by substance abuse, addiction, or other health-related  
24      conditions;

25      O. "Licensee" means an individual who currently holds an authorization from  
26      the state licensing board to practice as an audiologist or speech-language  
27      pathologist;

1 P. "Member state" means a state that has enacted the Compact;

2 Q. "Privilege to practice" means a legal authorization permitting the practice  
3 of audiology or speech-language pathology in a remote state;

4 R. "Remote state" means a member state other than the home state where a  
5 licensee is exercising or seeking to exercise the compact privilege;

6 S. "Rule" means a regulation, principle or directive promulgated by the  
7 Commission that has the force of law;

8 T. "Single-state license" means an audiology or speech-language pathology  
9 license issued by a member state that authorizes practice only within the  
10 issuing state and does not include a privilege to practice in any other  
11 member state;

12 U. "Speech-language pathologist" means an individual who is licensed by a  
13 state to practice speech-language pathology;

14 V. "Speech-language pathology" means the care and services provided by a  
15 licensed speech-language pathologist as set forth in the member state's  
16 statutes and rules;

17 W. "State" means any state, commonwealth, district or territory of the United  
18 States of America that regulates the practice of audiology and speech-  
19 language pathology;

20 X. "State practice laws" means a member state's laws, rules and regulations  
21 that govern the practice of audiology or speech-language pathology, define  
22 the scope of audiology or speech-language pathology practice, and create  
23 the methods and grounds for imposing discipline; and

24 Y. "Telehealth" means the application of telecommunication technology to  
25 deliver audiology or speech-language pathology services at a distance for  
26 assessment, intervention and/or consultation.

27 SECTION 3: STATE PARTICIPATION IN THE COMPACT

- 1 A. A license issued to an audiologist or speech-language pathologist by a home state  
2 to a resident in that state shall be recognized by each member state as authorizing  
3 an audiologist or speech-language pathologist to practice audiology or speech-  
4 language pathology, under a privilege to practice, in each member state.
- 5 B. A state must implement or utilize procedures for considering the criminal history  
6 records of applicants for initial privilege to practice. These procedures shall  
7 include the submission of fingerprints or other biometric-based information by  
8 applicants for the purpose of obtaining an applicant's criminal history record  
9 information from the Federal Bureau of Investigation and the agency responsible  
10 for retaining that state's criminal records.
- 11 1. A member state must fully implement a criminal background check  
12 requirement, within a time frame established by rule, by receiving the  
13 results of the Federal Bureau of Investigation record search on criminal  
14 background checks and use the results in making licensure decisions.
- 15 2. Communication between a member state, the Commission and among  
16 member states regarding the verification of eligibility for licensure through  
17 the Compact shall not include any information received from the Federal  
18 Bureau of Investigation relating to a federal criminal records check  
19 performed by a member state under Public Law 92-544.
- 20 C. Upon application for a privilege to practice, the licensing board in the issuing  
21 remote state shall ascertain, through the data system, whether the applicant has  
22 ever held, or is the holder of, a license issued by any other state, whether there  
23 are any encumbrances on any license or privilege to practice held by the  
24 applicant, whether any adverse action has been taken against any license or  
25 privilege to practice held by the applicant.
- 26 D. Each member state shall require an applicant to obtain or retain a license in the  
27 home state and meet the home state's qualifications for licensure or renewal of

1       licensure, as well as, all other applicable state laws.

2       E. For an audiologist:

3       1. Must meet one (1) of the following educational requirements:

4           a. On or before, December 31, 2007, has graduated with a master's  
5           degree or doctorate in audiology, or equivalent degree regardless of  
6           degree name, from a program that is accredited by an accrediting  
7           agency recognized by the Council for Higher Education Accreditation,  
8           or its successor, or by the United States Department of Education and  
9           operated by a college or university accredited by a regional or national  
10           accrediting organization recognized by the board; or

11           b. On or after, January 1, 2008, has graduated with a Doctoral degree in  
12           audiology, or equivalent degree, regardless of degree name, from a  
13           program that is accredited by an accrediting agency recognized by the  
14           Council for Higher Education Accreditation, or its successor, or by  
15           the United States Department of Education and operated by a college  
16           or university accredited by a regional or national accrediting  
17           organization recognized by the board; or

18           c. Has graduated from an audiology program that is housed in an  
19           institution of higher education outside of the United States (a) for  
20           which the program and institution have been approved by the  
21           authorized accrediting body in the applicable country and (b) the  
22           degree program has been verified by an independent credentials  
23           review agency to be comparable to a state licensing board-approved  
24           program;

25       2. Has completed a supervised clinical practicum experience from an  
26       accredited educational institution or its cooperating programs as required  
27       by the board;

1 3. Has successfully passed a national examination approved by the  
2 Commission;

3 4. Holds an active, unencumbered license;

4 5. Has not been convicted or found guilty, and has not entered into an agreed  
5 disposition, of a felony related to the practice of audiology, under applicable  
6 state or federal criminal law; and

7 6. Has a valid United States Social Security or National Practitioner  
8 Identification number.

9 F. For a speech-language pathologist:

10 1. Must meet one (1) of the following educational requirements:

11 a. Has graduated with a master's degree from a speech-language  
12 pathology program that is accredited by an organization recognized by  
13 the United States Department of Education and operated by a college  
14 or university accredited by a regional or national accrediting  
15 organization recognized by the board; or

16 b. Has graduated from a speech-language pathology program that is  
17 housed in an institution of higher education outside of the United  
18 States (a) for which the program and institution have been approved  
19 by the authorized accrediting body in the applicable country and (b)  
20 the degree program has been verified by an independent credentials  
21 review agency to be comparable to a state licensing board-approved  
22 program;

23 2. Has completed a supervised clinical practicum experience from an  
24 educational institution or its cooperating programs as required by the  
25 Commission;

26 3. Has completed a supervised postgraduate professional experience as  
27 required by the Commission;

- 1        4. Has successfully passed a national examination approved by the  
2                Commission;
- 3        5. Holds an active, unencumbered license;
- 4        6. Has not been convicted or found guilty, and has not entered into an agreed  
5                disposition, of a felony related to the practice of speech-language pathology,  
6                under applicable state or federal criminal law; and
- 7        7. Has a valid United States Social Security or National Practitioner  
8                Identification number.
- 9        G. The privilege to practice is derived from the home state license.
- 10       H. An audiologist or speech-language pathologist practicing in a member state must  
11               comply with the state practice laws of the state in which the client is located at the  
12               time service is provided. The practice of audiology and speech-language  
13               pathology shall include all audiology and speech-language pathology practice as  
14               defined by the state practice laws of the member state in which the client is  
15               located. The practice of audiology and speech-language pathology in a member  
16               state under a privilege to practice shall subject an audiologist or speech-language  
17               pathologist to the jurisdiction of the licensing board, the courts and the laws of  
18               the member state in which the client is located at the time service is provided.
- 19       I. Individuals not residing in a member state shall continue to be able to apply for a  
20               member state's single-state license as provided under the laws of each member  
21               state. However, the single-state license granted to these individuals shall not be  
22               recognized as granting the privilege to practice audiology or speech-language  
23               pathology in any other member state. Nothing in this Compact shall affect the  
24               requirements established by a member state for the issuance of a single-state  
25               license.
- 26       J. Member states may charge a fee for granting a compact privilege.
- 27       K. Member states must comply with the bylaws and rules and regulations of the



1       Commission.

2                               SECTION 4: COMPACT PRIVILEGE

3   A. To exercise the compact privilege under the terms and provisions of the Compact,  
4   the audiologist or speech-language pathologist shall:

5       1. Hold an active license in the home state;

6       2. Have no encumbrance on any state license;

7       3. Be eligible for a compact privilege in any member state in accordance with  
8       Section 3;

9       4. Have not had any adverse action against any license or compact privilege  
10      within the previous 2 years from date of application;

11      5. Notify the Commission that the licensee is seeking the compact privilege  
12      within a remote state(s);

13      6. Pay any applicable fees, including any state fee, for the compact privilege;  
14      and

15      7. Report to the Commission adverse action taken by any non-member state  
16      within 30 days from the date the adverse action is taken.

17   B. For the purposes of the compact privilege, an audiologist or speech-language  
18   pathologist shall only hold one (1) home state license at a time.

19   C. Except as provided in Section 6, if an audiologist or speech-language pathologist  
20   changes primary state of residence by moving between two (2) member states, the  
21   audiologist or speech-language pathologist must apply for licensure in the new  
22   home state, and the license issued by the prior home state shall be deactivated in  
23   accordance with applicable rules adopted by the Commission.

24   D. The audiologist or speech-language pathologist may apply for licensure in  
25   advance of a change in primary state of residence.

26   E. A license shall not be issued by the new home state until the audiologist or  
27   speech-language pathologist provides satisfactory evidence of a change in

1       primary state of residence to the new home state and satisfies all applicable  
2       requirements to obtain a license from the new home state.

3       F. If an audiologist or speech-language pathologist changes primary state of  
4       residence by moving from a member state to a non-member state, the license  
5       issued by the prior home state shall convert to a single-state license, valid only in  
6       the former home state.

7       G. The compact privilege is valid until the expiration date of the home state license.  
8       The licensee must comply with the requirements of Section 4A to maintain the  
9       compact privilege in the remote state.

10      H. A licensee providing audiology or speech-language pathology services in a  
11      remote state under the compact privilege shall function within the laws and  
12      regulations of the remote state.

13      I. A licensee providing audiology or speech-language pathology services in a  
14      remote state is subject to that state's regulatory authority. A remote state may, in  
15      accordance with due process and that state's laws, remove a licensee's compact  
16      privilege in the remote state for a specific period of time, impose fines, and/or  
17      take any other necessary actions to protect the health and safety of its citizens.

18      J. If a home state license is encumbered, the licensee shall lose the compact  
19      privilege in any remote state until the following occur:

20      1. The home state license is no longer encumbered; and

21      2. Two (2) years have elapsed from the date of the adverse action.

22      K. Once an encumbered license in the home state is restored to good standing, the  
23      licensee must meet the requirements of Section 4A to obtain a compact privilege  
24      in any remote state.

25      L. Once the requirements of Section 4J have been met, the licensee must meet the  
26      requirements in Section 4A to obtain a compact privilege in a remote state.

27      SECTION 5: COMPACT PRIVILEGE TO PRACTICE TELEHEALTH

1 Member states shall recognize the right of an audiologist or speech-language  
2 pathologist, licensed by a home state in accordance with Section 3 and under rules  
3 promulgated by the Commission, to practice audiology or speech-language pathology  
4 in any member state via telehealth under a privilege to practice as provided in the  
5 Compact and rules promulgated by the Commission.

6 **SECTION 6: ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES**

7 Active duty military personnel, or their spouse, shall designate a home state where the  
8 individual has a current license in good standing. The individual may retain the home  
9 state designation during the period the service member is on active duty. Subsequent to  
10 designating a home state, the individual shall only change their home state through  
11 application for licensure in the new state.

12 **SECTION 7: ADVERSE ACTIONS**

13 A. In addition to the other powers conferred by state law, a remote state shall have  
14 the authority, in accordance with existing state due process law, to:

15 1. Take adverse action against an audiologist's or speech-language  
16 pathologist's privilege to practice within that member state;

17 2. Issue subpoenas for both hearings and investigations that require the  
18 attendance and testimony of witnesses as well as the production of evidence.  
19 Subpoenas issued by a licensing board in a member state for the attendance  
20 and testimony of witnesses or the production of evidence from another  
21 member state shall be enforced in the latter state by any court of competent  
22 jurisdiction, according to the practice and procedure of that court  
23 applicable to subpoenas issued in proceedings pending before it. The  
24 issuing authority shall pay any witness fees, travel expenses, mileage and  
25 other fees required by the service statutes of the state in which the witnesses  
26 or evidence are located;

27 3. Only the home state shall have the power to take adverse action against a

1 audiologist's or speech-language pathologist's license issued by the home  
2 state;

3 B. For purposes of taking adverse action, the home state shall give the same priority  
4 and effect to reported conduct received from a member state as it would if the  
5 conduct had occurred within the home state. In so doing, the home state shall  
6 apply its own state laws to determine appropriate action;

7 C. The home state shall complete any pending investigations of an audiologist or  
8 speech-language pathologist who changes primary state of residence during the  
9 course of the investigations. The home state shall also have the authority to take  
10 appropriate action(s) and shall promptly report the conclusions of the  
11 investigations to the administrator of the data system. The administrator of the  
12 coordinated licensure information system shall promptly notify the new home  
13 state of any adverse actions;

14 D. If otherwise permitted by state law, recover from the affected audiologist or  
15 speech-language pathologist the costs of investigations and disposition of cases  
16 resulting from any adverse action taken against that audiologist or speech-  
17 language pathologist;

18 E. Take adverse action based on the factual findings of the remote state, provided  
19 that the home state follows its own procedures for taking the adverse action;

20 F. Joint Investigations

21 1. In addition to the authority granted to a member state by its respective  
22 audiology or speech-language pathology practice act or other applicable  
23 state law, any member state may participate with other member states in  
24 joint investigations of licensees.

25 2. Member states shall share any investigative, litigation, or compliance  
26 materials in furtherance of any joint or individual investigation initiated  
27 under the Compact;

1 G. If adverse action is taken by the home state against an audiologist's or speech  
2 language pathologist's license, the audiologist's or speech-language pathologist's  
3 privilege to practice in all other member states shall be deactivated until all  
4 encumbrances have been removed from the state license. All home state  
5 disciplinary orders that impose adverse action against an audiologist's or speech  
6 language pathologist's license shall include a statement that the audiologist's or  
7 speech-language pathologist's privilege to practice is deactivated in all member  
8 states during the pendency of the order;

9 H. If a member state takes adverse action, it shall promptly notify the administrator  
10 of the data system. The administrator of the data system shall promptly notify the  
11 home state of any adverse actions by remote states; and

12 I. Nothing in this Compact shall override a member state's decision that  
13 participation in an alternative program may be used in lieu of adverse action.

14 SECTION 8: ESTABLISHMENT OF THE AUDIOLOGY AND SPEECH-  
15 LANGUAGE PATHOLOGY COMPACT COMMISSION

16 A. The Compact member states hereby create and establish a joint public agency  
17 known as the Audiology and Speech-Language Pathology Compact Commission:

18 1. The Commission is an instrumentality of the Compact states;

19 2. Venue is proper and judicial proceedings by or against the Commission  
20 shall be brought solely and exclusively in a court of competent jurisdiction  
21 where the principal office of the Commission is located. The Commission  
22 may waive venue and jurisdictional defenses to the extent it adopts or  
23 consents to participate in alternative dispute resolution proceedings; and

24 3. Nothing in this Compact shall be construed to be a waiver of sovereign  
25 immunity.

26 B. Membership, Voting, and Meetings:

27 1. Each member state shall have two (2) delegates selected by that member

1        state's licensing board. The delegates shall be current members of the  
2        licensing board. One (1) shall be an audiologist, and one (1) shall be a  
3        speech-language pathologist.

4        2. An additional five (5) delegates, who are either a public member or board  
5        administrator from a state licensing board, shall be chosen by the Executive  
6        Committee from a pool of nominees provided by the Commission at Large.

7        3. Any delegate may be removed or suspended from office as provided by the  
8        law of the state from which the delegate is appointed.

9        4. The member state board shall fill any vacancy occurring on the  
10       Commission within 90 days.

11       5. Each delegate shall be entitled to one (1) vote with regard to the  
12       promulgation of rules and creation of bylaws and shall otherwise have an  
13       opportunity to participate in the business and affairs of the Commission.

14       6. A delegate shall vote in person or by other means as provided in the bylaws.  
15       The bylaws may provide for delegates' participation in meetings by  
16       telephone or other means of communication.

17       7. The Commission shall meet at least once during each calendar year.  
18       Additional meetings shall be held as set forth in the bylaws.

19       C. The Commission shall have the following powers and duties:

20       1. Establish the fiscal year of the Commission;

21       2. Establish bylaws;

22       3. Establish a Code of Ethics;

23       4. Maintain its financial records in accordance with the bylaws;

24       5. Meet and take actions as are consistent with the provisions of this Compact  
25       and the bylaws;

26       6. Promulgate uniform rules to facilitate and coordinate implementation and  
27       administration of this Compact. The rules shall have the force and effect of

- 1           law and shall be binding in all member states;
- 2       7. Bring and prosecute legal proceedings or actions in the name of the
- 3           Commission, provided that the standing of any state audiology or speech-
- 4           language pathology licensing board to sue or be sued under applicable law
- 5           shall not be affected;
- 6       8. Purchase and maintain insurance and bonds;
- 7       9. Borrow, accept, or contract for services of personnel, including but not
- 8           limited to employees of a member state;
- 9       10. Hire employees, elect or appoint officers, fix compensation, define duties,
- 10           grant individuals appropriate authority to carry out the purposes of the
- 11           Compact, and establish the Commission's personnel policies and programs
- 12           relating to conflicts of interest, qualifications of personnel, and other
- 13           related personnel matters;
- 14       11. Accept any and all appropriate donations and grants of money, equipment,
- 15           supplies, and materials and services, and receive, utilize and dispose of the
- 16           same; provided that at all times the Commission shall avoid any appearance
- 17           of impropriety and/or conflict of interest;
- 18       12. Lease, purchase, and accept appropriate gifts or donations of, or otherwise
- 19           to own, hold, improve, or use, any property, real, personal, or mixed;
- 20           provided that at all times the Commission shall avoid any appearance of
- 21           impropriety;
- 22       13. Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise
- 23           dispose of any property real, personal, or mixed;
- 24       14. Establish a budget and make expenditures;
- 25       15. Borrow money;
- 26       16. Appoint committees, including standing committees composed of members,
- 27           and other interested persons as may be designated in this Compact and the

1 bylaws;

2 17. Provide and receive information from, and cooperate with, law enforcement  
3 agencies;

4 18. Establish and elect an Executive Committee; and

5 19. Perform other functions as may be necessary or appropriate to achieve the  
6 purposes of this Compact consistent with the state regulation of audiology  
7 and speech-language pathology licensure and practice.

8 D. The Executive Committee

9 The Executive Committee shall have the power to act on behalf of the Commission  
10 according to the terms of this Compact:

11 1. The Executive Committee shall be composed of ten (10) members:

12 a. Seven (7) voting members who are elected by the Commission from  
13 the current membership of the Commission;

14 b. Two (2) exofficio members, consisting of one (1) nonvoting member  
15 from a recognized national audiology professional association and  
16 one (1) nonvoting member from a recognized national speech-  
17 language pathology association; and

18 c. One (1) exofficio, nonvoting member from the recognized membership  
19 organization of the audiology and speech-language pathology  
20 licensing boards.

21 E. The exofficio members shall be selected by their respective organizations.

22 1. The Commission may remove any member of the Executive Committee as  
23 provided in bylaws.

24 2. The Executive Committee shall meet at least annually.

25 3. The Executive Committee shall have the following duties and  
26 responsibilities:

27 a. Recommend to the entire Commission changes to the rules or bylaws,



1 changes to this Compact legislation, fees paid by Compact member  
2 states such as annual dues, and any commission Compact fee charged  
3 to licensees for the compact privilege;

4 b. Ensure Compact administration services are appropriately provided,  
5 contractual or otherwise;

6 c. Prepare and recommend the budget;

7 d. Maintain financial records on behalf of the Commission;

8 e. Monitor Compact compliance of member states and provide  
9 compliance reports to the Commission;

10 f. Establish additional committees as necessary; and

11 g. Other duties as provided in rules or bylaws.

12 4. Meetings of the Commission

13 All meetings shall be open to the public, and public notice of meetings shall be given in  
14 the same manner as required under the rulemaking provisions in Section 10.

15 5. The Commission or the Executive Committee or other committees of the  
16 Commission may convene in a closed, non-public meeting if the  
17 Commission or Executive Committee or other committees of the  
18 Commission must discuss:

19 a. Non-compliance of a member state with its obligations under the  
20 Compact;

21 b. The employment, compensation, discipline or other matters, practices  
22 or procedures related to specific employees or other matters related to  
23 the Commission's internal personnel practices and procedures;

24 c. Current, threatened, or reasonably anticipated litigation;

25 d. Negotiation of contracts for the purchase, lease, or sale of goods,  
26 services, or real estate;

27 e. Accusing any person of a crime or formally censuring any person;

1 f. Disclosure of trade secrets or commercial or financial information  
2 that is privileged or confidential;

3 g. Disclosure of information of a personal nature where disclosure  
4 would constitute a clearly unwarranted invasion of personal privacy;

5 h. Disclosure of investigative records compiled for law enforcement  
6 purposes;

7 i. Disclosure of information related to any investigative reports prepared  
8 by or on behalf of or for use of the Commission or other committee  
9 charged with responsibility of investigation or determination of  
10 compliance issues pursuant to the Compact; or

11 j. Matters specifically exempted from disclosure by federal or member  
12 state statute.

13 6. If a meeting, or portion of a meeting, is closed pursuant to this provision,  
14 the Commission's legal counsel or designee shall certify that the meeting  
15 may be closed and shall reference each relevant exempting provision.

16 7. The Commission shall keep minutes that fully and clearly describe all  
17 matters discussed in a meeting and shall provide a full and accurate  
18 summary of actions taken, and the reasons therefor, including a description  
19 of the views expressed. All documents considered in connection with an  
20 action shall be identified in minutes. All minutes and documents of a closed  
21 meeting shall remain under seal, subject to release by a majority vote of the  
22 Commission or order of a court of competent jurisdiction.

23 8. Financing of the Commission

24 a. The Commission shall pay, or provide for the payment of, the  
25 reasonable expenses of its establishment, organization, and ongoing  
26 activities.

27 b. The Commission may accept any and all appropriate revenue sources,

1                   donations, and grants of money, equipment, supplies, materials, and  
2                   services.

3           c.   The Commission may levy on and collect an annual assessment from  
4           each member state or impose fees on other parties to cover the cost of  
5           the operations and activities of the Commission and its staff, which  
6           must be in a total amount sufficient to cover its annual budget as  
7           approved each year for which revenue is not provided by other  
8           sources. The aggregate annual assessment amount shall be allocated  
9           based upon a formula to be determined by the Commission, which  
10           shall promulgate a rule binding upon all member states.

11       9.   The Commission shall not incur obligations of any kind prior to securing  
12       the funds adequate to meet the same; nor shall the Commission pledge the  
13       credit of any of the member states, except by and with the authority of the  
14       member state.

15       10. The Commission shall keep accurate accounts of all receipts and  
16       disbursements. The receipts and disbursements of the Commission shall be  
17       subject to the audit and accounting procedures established under its bylaws.  
18       However, all receipts and disbursements of funds handled by the  
19       Commission shall be audited yearly by a certified or licensed public  
20       accountant, and the report of the audit shall be included in and become part  
21       of the annual report of the Commission.

22       F.   Qualified Immunity, Defense, and Indemnification

23       1.   The members, officers, executive director, employees, and representatives of  
24       the Commission shall be immune from suit and liability, either personally  
25       or in their official capacity, for any claim for damage to or loss of property  
26       or personal injury or other civil liability caused by or arising out of any  
27       actual or alleged act, error or omission that occurred, or that the person

1 against whom the claim is made had a reasonable basis for believing  
2 occurred within the scope of Commission employment, duties, or  
3 responsibilities; provided that nothing in this paragraph shall be construed  
4 to protect any person from suit and/or liability for any damage, loss, injury,  
5 or liability caused by the intentional or willful or wanton misconduct of that  
6 person.

7 2. The Commission shall defend any member, officer, executive director,  
8 employee, or representative of the Commission in any civil action seeking to  
9 impose liability arising out of any actual or alleged act, error, or omission  
10 that occurred within the scope of Commission employment, duties, or  
11 responsibilities, or that the person against whom the claim is made had a  
12 reasonable basis for believing occurred within the scope of Commission  
13 employment, duties, or responsibilities; provided that nothing herein shall  
14 be construed to prohibit that person from retaining his or her own counsel;  
15 and provided further, that the actual or alleged act, error, or omission did  
16 not result from that person's intentional or willful or wanton misconduct.

17 3. The Commission shall indemnify and hold harmless any member, officer,  
18 executive director, employee, or representative of the Commission for the  
19 amount of any settlement or judgment obtained against that person arising  
20 out of any actual or alleged act, error, or omission that occurred within the  
21 scope of Commission employment, duties, or responsibilities, or that person  
22 had a reasonable basis for believing occurred within the scope of  
23 Commission employment, duties, or responsibilities, provided that the  
24 actual or alleged act, error, or omission did not result from the intentional  
25 or willful or wanton misconduct of that person.

#### 26 SECTION 9: DATA SYSTEM

27 A. The Commission shall provide for the development, maintenance, and utilization

- 1       of a coordinated database and reporting system containing licensure, adverse  
2       action, and investigative information on all licensed individuals in member states.
- 3       B. Notwithstanding any other provision of state law to the contrary, a member state  
4       shall submit a uniform data set to the data system on all individuals to whom this  
5       Compact is applicable as required by the rules of the Commission, including:
- 6       1. Identifying information;  
7       2. Licensure data;  
8       3. Adverse actions against a license or compact privilege;  
9       4. Non-confidential information related to alternative program participation;  
10      5. Any denial of application for licensure, and the reason(s) for denial; and  
11      6. Other information that may facilitate the administration of this Compact, as  
12      determined by the rules of the Commission.
- 13      C. Investigative information pertaining to a licensee in any member state shall only  
14      be available to other member states.
- 15      D. The Commission shall promptly notify all member states of any adverse action  
16      taken against a licensee or an individual applying for a license. Adverse action  
17      information pertaining to a licensee in any member state shall be available to any  
18      other member state.
- 19      E. Member states contributing information to the data system may designate  
20      information that may not be shared with the public without the express  
21      permission of the contributing state.
- 22      F. Any information submitted to the data system that is subsequently required to be  
23      expunged by the laws of the member state contributing the information shall be  
24      removed from the data system.

25                                   SECTION 10: RULEMAKING

- 26      A. The Commission shall exercise its rulemaking powers pursuant to the criteria set  
27      forth in this section and the rules adopted thereunder. Rules and amendments

1 shall become binding as of the date specified in each rule or amendment.

2 B. If a majority of the legislatures of the member states rejects a rule, by enactment  
3 of a statute or resolution in the same manner used to adopt the Compact within 4  
4 years of the date of adoption of the rule, the rule shall have no further force and  
5 effect in any member state.

6 C. Rules or amendments to the rules shall be adopted at a regular or special meeting  
7 of the Commission.

8 D. Prior to promulgation and adoption of a final rule or rules by the Commission,  
9 and at least thirty (30) days in advance of the meeting at which the rule shall be  
10 considered and voted upon, the Commission shall file a Notice of Proposed  
11 Rulemaking:

12 1. On the Web site of the Commission or other publicly accessible platform;  
13 and

14 2. On the Web site of each member state audiology or speech-language  
15 pathology licensing board or other publicly accessible platform or the  
16 publication in which each state would otherwise publish proposed rules.

17 E. The Notice of Proposed Rulemaking shall include:

18 1. The proposed time, date, and location of the meeting in which the rule shall  
19 be considered and voted upon;

20 2. The text of the proposed rule or amendment and the reason for the  
21 proposed rule;

22 3. A request for comments on the proposed rule from any interested person;  
23 and

24 4. The manner in which interested persons may submit notice to the  
25 Commission of their intention to attend the public hearing and any written  
26 comments.

27 F. Prior to the adoption of a proposed rule, the Commission shall allow persons to

1       submit written data, facts, opinions, and arguments, which shall be made  
2       available to the public.

3       G. The Commission shall grant an opportunity for a public hearing before it adopts  
4       a rule or amendment if a hearing is requested by:

5       1. At least twenty-five (25) persons;

6       2. A state or federal governmental subdivision or agency; or

7       3. An association having at least twenty-five (25) members.

8       H. If a hearing is held on the proposed rule or amendment, the Commission shall  
9       publish the place, time, and date of the scheduled public hearing. If the hearing  
10       is held via electronic means, the Commission shall publish the mechanism for  
11       access to the electronic hearing.

12       1. All persons wishing to be heard at the hearing shall notify the executive  
13       director of the Commission or other designated member in writing of their  
14       desire to appear and testify at the hearing not less than five (5) business  
15       days before the scheduled date of the hearing.

16       2. Hearings shall be conducted in a manner providing each person who  
17       wishes to comment a fair and reasonable opportunity to comment orally or  
18       in writing.

19       3. All hearings shall be recorded. A copy of the recording shall be made  
20       available on request.

21       4. Nothing in this section shall be construed as requiring a separate hearing  
22       on each rule. Rules may be grouped for the convenience of the Commission  
23       at hearings required by this section.

24       I. Following the scheduled hearing date, or by the close of business on the  
25       scheduled hearing date if the hearing was not held, the Commission shall  
26       consider all written and oral comments received.

27       J. If no written notice of intent to attend the public hearing by interested parties is

1 received, the Commission may proceed with promulgation of the proposed rule  
2 without a public hearing.

3 K. The Commission shall, by majority vote of all members, take final action on the  
4 proposed rule and shall determine the effective date of the rule, if any, based on  
5 the rulemaking record and the full text of the rule.

6 L. Upon determination that an emergency exists, the Commission may consider and  
7 adopt an emergency rule without prior notice, opportunity for comment, or  
8 hearing, provided that the usual rulemaking procedures provided in the Compact  
9 and in this section shall be retroactively applied to the rule as soon as reasonably  
10 possible, in no event later than ninety (90) days after the effective date of the rule.  
11 For the purposes of this provision, an emergency rule is one that must be adopted  
12 immediately in order to:

13 1. Meet an imminent threat to public health, safety, or welfare;

14 2. Prevent a loss of Commission or member state funds; or

15 3. Meet a deadline for the promulgation of an administrative rule that is  
16 established by federal law or rule.

17 M. The Commission or an authorized committee of the Commission may direct  
18 revisions to a previously adopted rule or amendment for purposes of correcting  
19 typographical errors, errors in format, errors in consistency, or grammatical  
20 errors. Public notice of any revisions shall be posted on the website of the  
21 Commission. The revision shall be subject to challenge by any person for a period  
22 of thirty (30) days after posting. The revision may be challenged only on grounds  
23 that the revision results in a material change to a rule. A challenge shall be made  
24 in writing and delivered to the chair of the Commission prior to the end of the  
25 notice period. If no challenge is made, the revision shall take effect without  
26 further action. If the revision is challenged, the revision may not take effect  
27 without the approval of the Commission.



1     **SECTION 11: OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT**

2     **A.   Dispute Resolution**

3         **1.   Upon request by a member state, the Commission shall attempt to resolve**  
4             **disputes related to the Compact that arise among member states and**  
5             **between member and non-member states.**

6         **2.   The Commission shall promulgate a rule providing for both mediation and**  
7             **binding dispute resolution for disputes as appropriate.**

8     **B.   Enforcement**

9         **1.   The Commission, in the reasonable exercise of its discretion, shall enforce**  
10            **the provisions and rules of this Compact.**

11         **2.   By majority vote, the Commission may initiate legal action in the United**  
12            **States District Court for the District of Columbia or the federal district**  
13            **where the Commission has its principal offices against a member state in**  
14            **default to enforce compliance with the provisions of the Compact and its**  
15            **promulgated rules and bylaws. The relief sought may include both**  
16            **injunctive relief and damages. In the event judicial enforcement is**  
17            **necessary, the prevailing member shall be awarded all costs of litigation,**  
18            **including reasonable attorney's fees.**

19         **3.   The remedies herein shall not be the exclusive remedies of the Commission.**  
20            **The Commission may pursue any other remedies available under federal or**  
21            **state law.**

22     **SECTION 12: DATE OF IMPLEMENTATION OF THE INTERSTATE**  
23     **COMMISSION FOR AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY**  
24     **PRACTICE AND ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT**

25     **A.   The Compact shall come into effect on the date on which the Compact statute is**  
26         **enacted into law in the 10th member state. The provisions, which become**  
27         **effective at that time, shall be limited to the powers granted to the Commission**

1 relating to assembly and the promulgation of rules. Thereafter, the Commission  
2 shall meet and exercise rulemaking powers necessary to the implementation and  
3 administration of the Compact.

4 B. Any state that joins the Compact subsequent to the Commission's initial adoption  
5 of the rules shall be subject to the rules as they exist on the date on which the  
6 Compact becomes law in that state. Any rule that has been previously adopted by  
7 the Commission shall have the full force and effect of law on the day the  
8 Compact becomes law in that state.

9 C. Any member state may withdraw from this Compact by enacting a statute  
10 repealing the same.

11 1. A member state's withdrawal shall not take effect until six (6) months after  
12 enactment of the repealing statute.

13 2. Withdrawal shall not affect the continuing requirement of the withdrawing  
14 state's audiology or speech-language pathology licensing board to comply  
15 with the investigative and adverse action reporting requirements of this act  
16 prior to the effective date of withdrawal.

17 D. Nothing contained in this Compact shall be construed to invalidate or prevent  
18 any audiology or speech-language pathology licensure agreement or other  
19 cooperative arrangement between a member state and a non-member state that  
20 does not conflict with the provisions of this Compact.

21 E. This Compact may be amended by the member states. No amendment to this  
22 Compact shall become effective and binding upon any member state until it is  
23 enacted into the laws of all member states.

24 SECTION 13: CONSTRUCTION AND SEVERABILITY

25 This Compact shall be liberally construed so as to effectuate the purposes thereof. The  
26 provisions of this Compact shall be severable and if any phrase, clause, sentence, or  
27 provision of this Compact is declared to be contrary to the constitution of any member

1 state or of the United States or the applicability thereof to any government, agency,  
2 person, or circumstance is held invalid, the validity of the remainder of this Compact  
3 and the applicability thereof to any government, agency, person, or circumstance shall  
4 not be affected thereby. If this Compact shall be held contrary to the constitution of  
5 any member state, the Compact shall remain in full force and effect as to the  
6 remaining member states and in full force and effect as to the member state affected as  
7 to all severable matters.

8 SECTION 14: BINDING EFFECT OF COMPACT AND OTHER LAWS

9 A. Nothing herein prevents the enforcement of any other law of a member state that  
10 is not inconsistent with the Compact.

11 B. All laws in a member state in conflict with the Compact are superseded to the  
12 extent of the conflict.

13 C. All lawful actions of the Commission, including all rules and bylaws promulgated  
14 by the Commission, are binding upon the member states.

15 D. All agreements between the Commission and the member states are binding in  
16 accordance with their terms.

17 E. In the event any provision of the Compact exceeds the constitutional limits  
18 imposed on the legislature of any member state, the provision shall be ineffective  
19 to the extent of the conflict with the constitutional provision in question in that  
20 member state.